Sub-pixel temperatures estimation based on the assimilation of coarse resolution thermal infrared LST using particle filtering

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→ High temporal variability

LST is a key variable to monitor energy & hydric budgets.
It can be estimated from space , from TIR radiometers .

		Spatial resolution	Temporal resolution
	SEVIRI (MSG)	3000 -5000 m	15 min
	MODIS	1000m	~1day
	AVHRR	1000m	~2-4 days
	ASTER	90m	~ 1 month



High spatial variability & heterogeneity



## The monitoring of surface budgets requires :

To implement methods to estimate high spatial resolution LST from the only up-scaled and irregular observations.

## What we NEED:

- A model to provide prior LST estimates and to assimilate the up-scaled observations.
  - →Land Surface Model (LSM) :
  - calculates the energy and hydric budgets
  - calculates the different interactions between soilvegetation-atmosphere.

# calculates the time evolution of LST





# **Problem position**







# Quick insight of the SEtHyS Model



→SEtHyS will be used to simulate the sub-pixel temperature (T<sub>class\_i</sub>)
 →Some of SEtHyS parameters will be calibrated in the downscaling procedure

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#### Particle Filtering (PF) What's a PF?

 A PF is an ensemble method based on Monte Carlo Sampling to approximate a probability distribution with a discrete sum of samples (ensemble members). It's an ensemble, numeric solution of the Bayesian filtering problem.

#### Why PF??

- Highly non linear model
- Low dimension problem (finite number of parameters, low number of land classes, etc.)
- Interesting computing time
- facility in PF implementation
- Etc.





## Particle Filter general algorithm

Considering a set of particles at the time q=0;  $\{x_{1,0}, x_{2,0}, x_{3,0}, ..., x_{N,0}\}$ Monte Carlo Sampling:  $p(x_0) \approx \frac{1}{N} \sum_{i=1}^{N} \delta_{x_{i,0}} (x_0 = x_{i,0})$ , N >> 1

> For all times q we have:

- <u>Prediction</u>:  $p\left(x_{i,q}/x_{i,1:q-1}\right) \propto p\left(x_{i,q}/x_{i,q-1}\right)$ 

- <u>Analysis / weighting</u>:  $p(x / y) = \sum w_{i,q} \delta(x_q - x_{i,q})$ 

with:  $w_{i,q} = \frac{p(y_q / x_{i,q})}{\sum_{i=1}^{N} p(y_q / x_{i,q})}$  is the weight associated to the i-th particle

- <u>Selection/resampling</u>:  $p(x_q) \approx \frac{1}{N} \sum_{i=1}^{N} \delta_{x_{i,q}}(x_q = \hat{x}_{i,q})$ 

Where  $\hat{x}_{i,q}$  presents the most suitable particles selected with the selection/resampling algorithm (genetic algorithm).

□<u>What's a particle?</u> → The up-scaled LST & the corresponding set of

parameters (simulated with SEtHyS model)

□<u>Initialization:</u> For each land cover we:

- Randomly generate an ensemble of 'N' samples for the selected parameters (M parameters / class) with their range of variation
- Simulate the initial temperatures relative to the N samples with SEtHyS model on a daily time window.
- Assimilation window proceedings
  - SEtHyS prediction: computation of the simulated sub-pixel temperatures.
  - Particle filtering.
  - Actualization of the particles ensemble to be used for model propagation for the next assimilation window.





### Coupling PF with SEtHyS model :General loop scheme



## **Experience framework**

- Initialization step
- Create a synthetic pixel containing 3 land covers equally distributed (forest, wheat and bare soil).
- Use the meteorological forcing of Crau 2006.
- Previous sensitivity analysis : selection of the most sensible parameters (from 22 initial parameters we select 5 parameters / class).
- Generate reference sub-pixel LST for the different classes.
- Create the up-scaled observation using the reference sub-pixel temperatures

as follows: 
$$\tau_{obs} = \frac{\left(\alpha_{bs}\sigma_{bs}T_{bs}^{4} + \alpha_{w}\sigma_{w}T_{w}^{4} + \alpha_{f}\sigma_{f}T_{f}^{4}\right)}{\alpha_{bs}\sigma_{bs} + \alpha_{w}\sigma_{w} + \alpha_{f}\sigma_{f}} + N\left(0,\sigma_{obs}\right)$$

- Generate the initial N sets of parameters (definition of parameter space) for the different land covers and simulate the corresponding sub-pixel temperatures .

- Calculate, for each set of parameters, the up-scaled temperatures.
- Proceed to the general loop of PF coupled with SEtHyS.

## Experience specifications

- Assimilation period = 1day
- Observation frequency =1obs/10min
- Observation error variance = 1.5 K
- Size of particles ensemble N= 200
- Number of calibrated parameter/land cover =5
- Total duration of the assimilation experiment= 20 time windows = 20 days = day94 → day 114 of the year 2006
- Resampling Noise = N(0,0.01)







## Downscaling results



#### Downscaling result for the 20<sup>th</sup> assimilation window

## Downscaling results



Downscaling result for the period day 94  $\rightarrow$  day 114

## **Calibration results**



**Bare soi** 

Calibration results for result for the 20<sup>th</sup> assimilation window

## **Calibration results**



Calibration results for the period day  $94 \rightarrow day 114$ 

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**\***Experience framework:

- Time window= 1day
- Observation frequency =1obs/10min
- Observation error variance = 1.5 K
- Size of particles ensemble N= 200
- Number of calibrated parameter/land cover =2 (bare soil :P<sub>1</sub>= fact<sub>therm</sub>, P<sub>2</sub>= e<sub>s</sub>; forest: P<sub>1</sub>= fact<sub>therm</sub>, P<sub>2</sub>= e<sub>g</sub>; wheat : P<sub>1</sub>= fact<sub>therm</sub>, P<sub>2</sub>= e<sub>g</sub>)
- Assimilation period = 20 time windows = 20 days = day94  $\rightarrow$  day 114 of the year 2006
- Resampling Noise =  $\mathcal{N}(0, 10^{-2})$

**The efficiency index is evaluated as follow :**  $I = m e a n_{10 \text{ experiences}} \left( 1 - \frac{R M S E_{posterior}}{R M S E_{prior}} \right)$ 

**\*We repeat the experience 10 times and average the results on 10 experiences.** 

Bare soil	Wheat	Forest
I=0.85	I=0.78	I=0.89





## **Particle Filtering efficiency**



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#### Experience framework:

- Observation error variance values : σ<sub>o</sub> = [0.5K, 0.75K,1K, 1.25K,1.5K,1.75K, 2K,2.25K, 2.5K,2.75K, 3K,3.25K, 3.5K,3.75K, 4K]
- We vary the value of the observation error variance and evaluate the RMSE for each land cover type.

$$RMSE \quad i, j = \frac{1}{N_{period}} \sum_{m=1}^{N_{period}} \left[ \sqrt{\frac{K \left( T_{i,j}^{i,j} - T_{m,q}^{i,j} \right)^{2}}{\frac{q=1}{K_{period}}} \right]$$

Where;

- 'i' is the land cover index
- 'j' is the  $\sigma_o$  index
- 'm' is window index
- 'q' is the time step index

→We repeat the experience 19 times and average the results on the 19 experiences.





## Impact of the observation error variance



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## **Conclusion and perspectives**

#### Conclusions

- Good performances of PF on the downscaling of low spatial resolution temperatures
- Good performances of the calibration of the most sensitive parameters
- >The particle filter performances decrease with the observation error variance.

#### **Perspectives**

- Application of our approach on real TIR data and at larger scale (image)
- ✓ Application on multi-scale data (combine METEOSAT and MODIS data).
- Compare our downscaling approach to other ones (Inamdar 2008; Inamdar 2009; Kallel & al., 2012 ; Bechtel & al., 2012)







