



INTERANNUAL-TO-PENTADAL CLIMATE PREDICTION BY USING A FOUR-DIMENSIONAL VARIATIONAL COUPLED DATA ASSIMILATION SYSTEM.

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Interannual-to-pentadal climate prediction

- Major issues of inter-annual/decadal prediction with a coupled model are

e.g., Hawkins and Sutton (2009); Murphy et al. (2010)

☆ Inconsistent initialization,

Dynamically self-consistency is preferable.

How is the impact of the in-consistency between the model and its initial conditions on the climate forecast?

e.g, Balmaseda and Anderson (2009);
Smith et al. (2012)

☆ lacking information about future external forcing

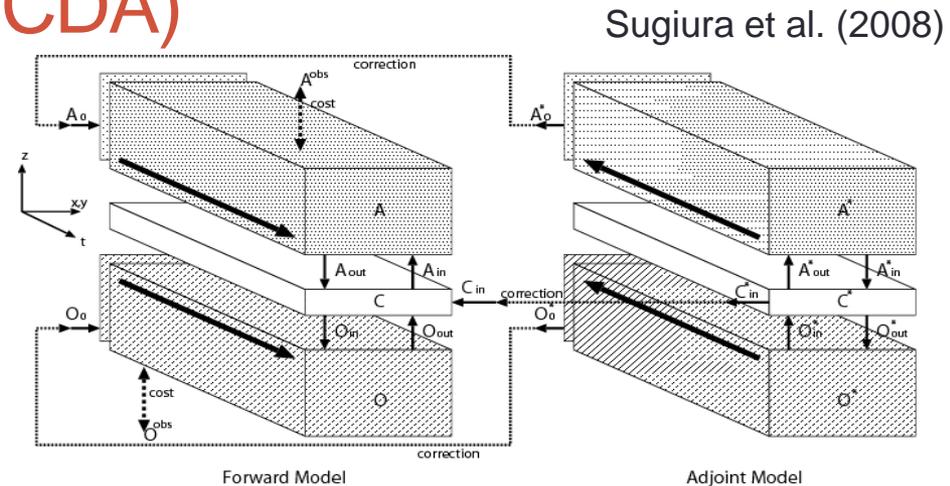
All the climate factors should be included in the model, but it seems impossible.

What strategy is possible for a specific prediction?

Here, I will demonstrate how is the advantage of our 4D-VAR CDA system to these issues.

4D-VAR coupled data assimilation system (K7-CDA)

- Coupled Model (CFES):
 - T42L24 **AFES** for AGCM
 - 1x1deg L45 **MOM3** for OGCM
 - IARC Sealce model
 - MATSIRO Model for Land
- Observational Data
 - Atmosphere:
 - NCEP's **BUFR data** U,V,T,Q (10daily)
 - SSM/I sea wind scalar x ERA40 wind direction (10daily)
 - Ocean:
 - T/P altimeter data(10daily)
 - Reynolds SST (10daily)
 - **WOD data** T,S (monthly)
 - Ocean Data Assimilation Product T,S(monthly)
- Adjoint Code
 - **Adjoint** OGCM and **adjoint** AGCM are coupled [Line by line transformation by TAMC,TAF]
 - **Temporal averaging** of forward field for the adjoint integration is applied to smooth the basic field [coarse-grained formalism]
 - Adjoint AGCM contains **damping terms** to suppress the strong adjoint sensitivity from weather fluctuations.



Update from Sugi2008:
historical radiative forcing
with greenhouse gases
(CH₄, CO₂, N₂O :annual),
aerosol (black and
organic carbon, dust,
sulfur: monthly) and
volcanic effect (monthly)
from Historical and
RCP4.5 scenario-based
data/simulations in CMIP5.

$$-\frac{\partial \lambda}{\partial t} = \left(\frac{\partial \mathbf{M}}{\partial \mathbf{x}} \right)_{\mathbf{x}=\bar{\mathbf{x}}}^T \lambda - \mathbf{\Gamma} \lambda + \mathbf{H}^T \mathbf{R}^{-1} (\mathbf{H} \bar{\mathbf{x}} - \mathbf{y})$$

λ : adjoint variables, $\bar{\mathbf{x}}$: temporal average, $-\mathbf{\Gamma} \lambda$: damping.

How we improve a coupled model which contains different time scales

- Forward model forecasts both weather + seasonal + interannual modes
- Adjoint code runs under the forcing of monthly mean gap to improve background state Temporal averaging of forward field for the adjoint integration is applied to smooth the basic field
- Leave weather mode to the ability of CGCM with improved background states

$$\mathbf{x} = \mathbf{x}_{\text{weather}} + \mathbf{x}_{\text{clim}} + \mathbf{x}_{\text{ia}},$$

$$\bar{\mathbf{x}} = \frac{1}{30} \sum \mathbf{x} \cong \mathbf{x}_{\text{clim}} + \mathbf{x}_{\text{ia}} \quad (\text{monthly}).$$

What did we control?

1. Ocean initial condition
2. Bulk parameters controlling Air-sea fluxes of

Momentum $F_{\mathbf{v}} = -\rho \alpha_M C_M |\mathbf{v}| \mathbf{v}$

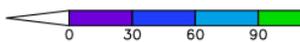
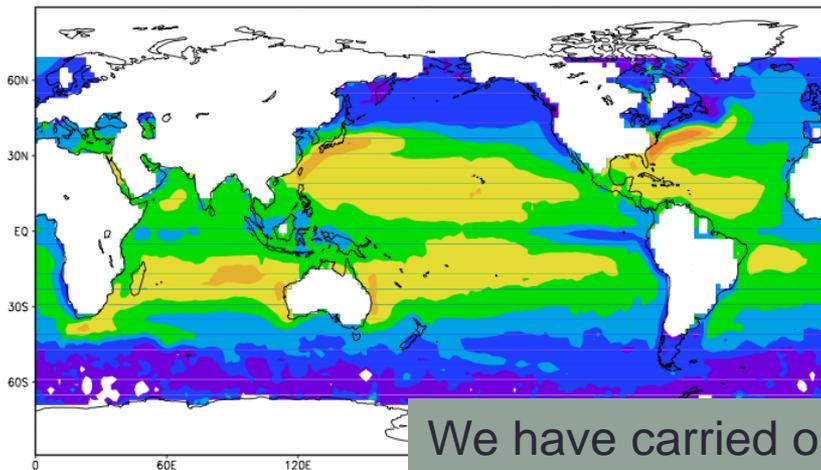
Sensible heat $F_{\theta} = \rho c_p \alpha_H C_H |\mathbf{v}| (\theta_g - \theta)$

Latent heat $F_q = \rho \alpha_E C_E |\mathbf{v}| (q_g - q)$

adjustment factors
(x,y, 10-daily)

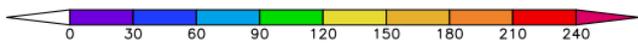
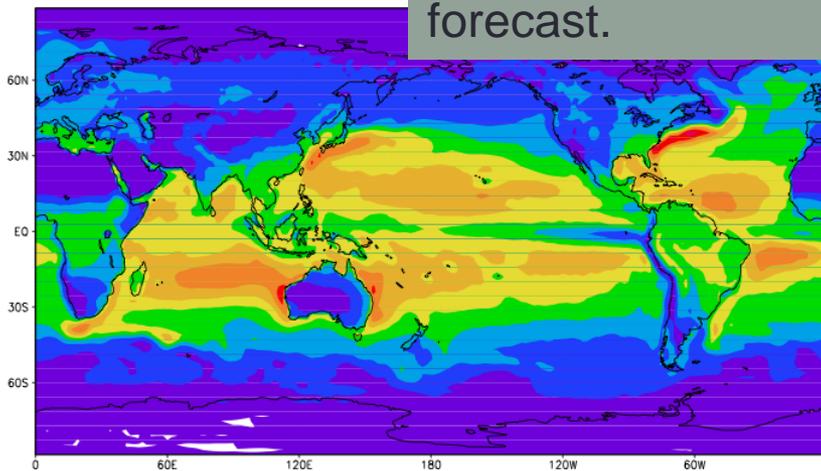
Latent Heat flux [W/m²]

Latent heat flux (ann mean) COADS



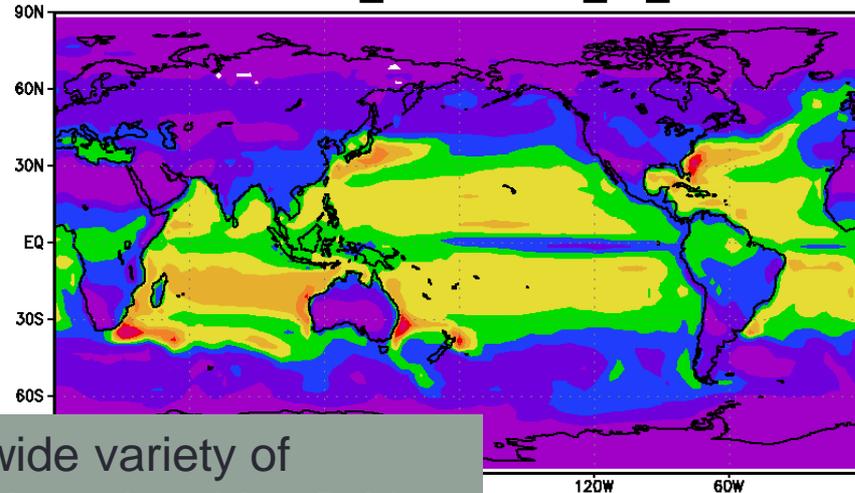
Observatio

Latent heat flux

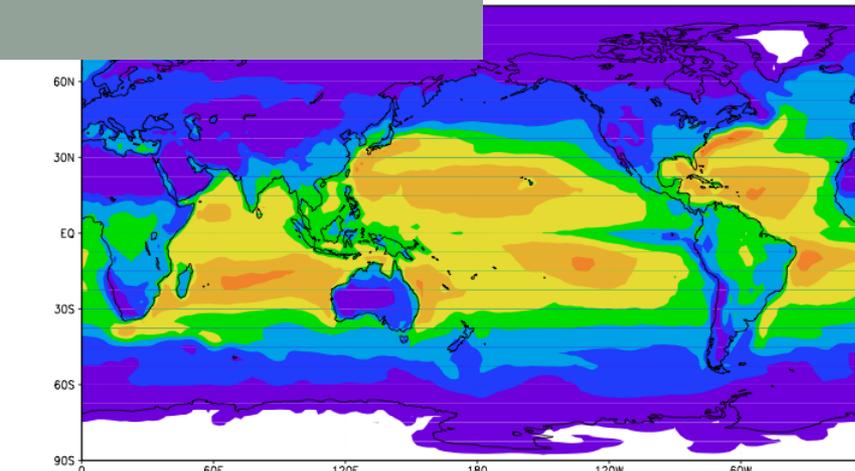
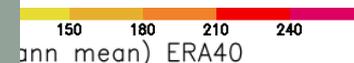


NCEP2

EVAP clim_mean bufr3_c9_ens



A



ERA40

We have carried out wide variety of hindcast experiments by using this 4D-Var coupled data assimilation system, to examin the potential ability of multiyear forecast.

1) Impact of in-consistency
between the climate model and
its initial conditions on climate
prediction is examined

Initialization

Liu et al., (2016)

Table 1 Summary of the experiments

Experiments	Initialization	Forecast period	Initial condition	Forcing	Realization
20C ^a	Jan of 1946	1946–2007	1980-CDA	GHG, Aerosol, volcano	1
CIH ^a	Jan of each year	1980–2007	CDA, full state	GHG, Aerosol, volcano	1
GIH ^b	Jan of each year	1980–2007	GECCO2, full state	GHG, Aerosol, volcano	1
AGIH ^c	Jan of each year	1980–2007	$A_{no_{GECCO2}} + Clim_{20C}$, anomaly	GHG, Aerosol, volcano	1

^a 20C: Un-initialized 20th century simulation

^a CIH: CDA initialized hindcasts

^b GIH: GECCO2 initialized hindcasts

^c AGIH: GECCO2 initialized hindcasts, with anomaly initialization strategy

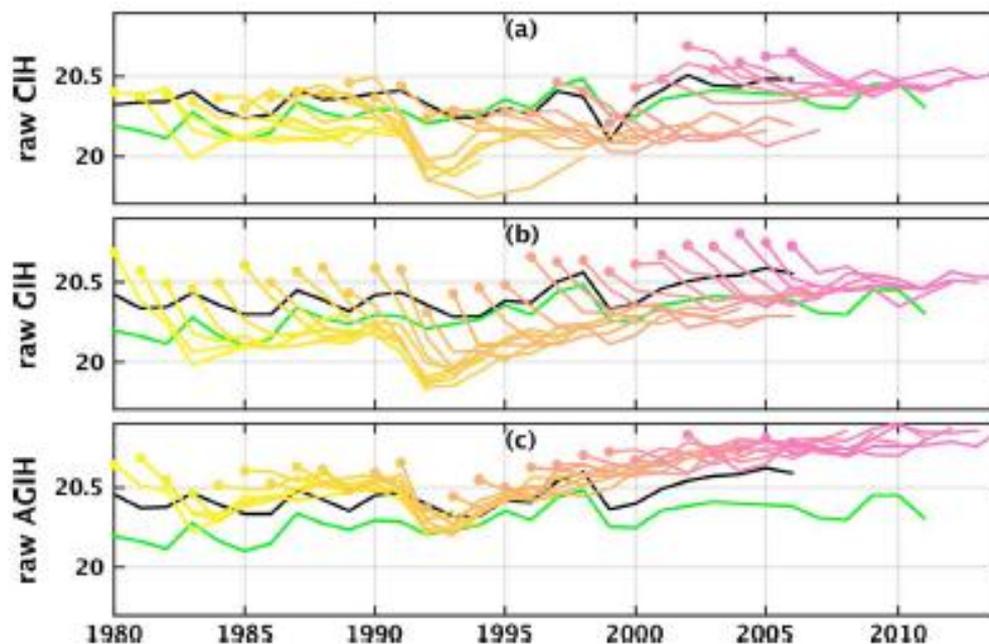
9-year hindcasts
(start from 1980-2006)

Global-averaged
Annual mean SST

HadISST

ODAs' estimations

Coupled hindcasts



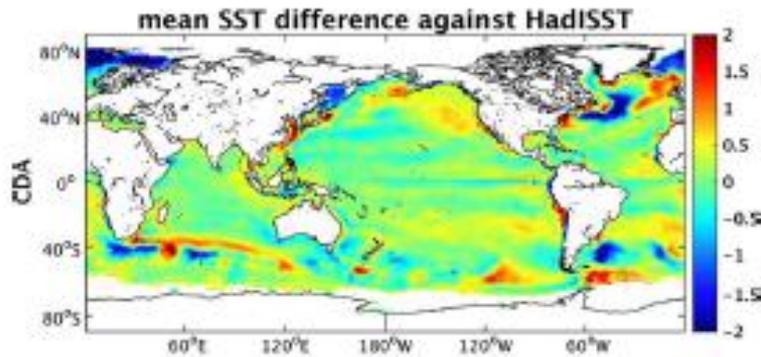
Self-consistent

In-consistent

In-consistent
only for Anomaly

Is GECCO2 wrong? =>No

CDA



GECCO2

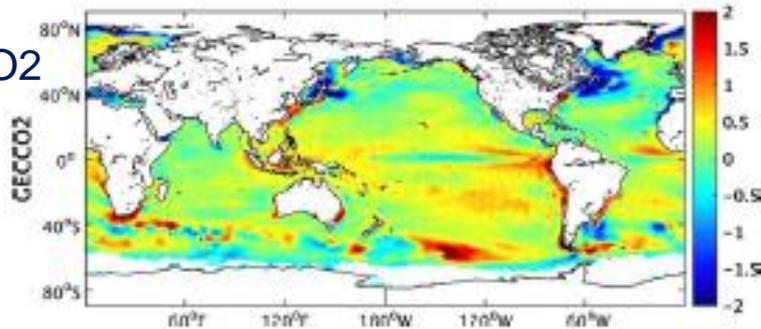


Fig. 4 SST difference to HadISST averaged over 1980–2006 for CDA (*top panel*) and GECCO2 (*bottom panel*)

SST difference

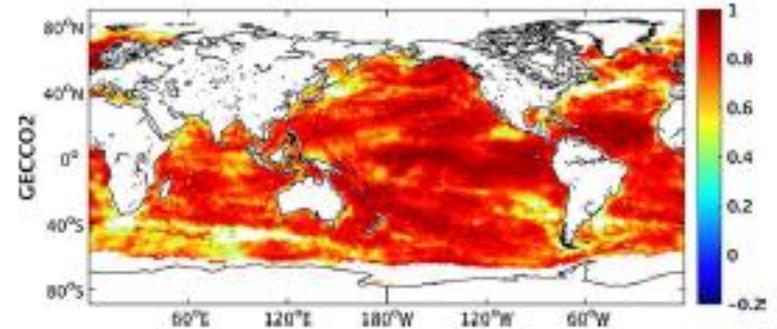
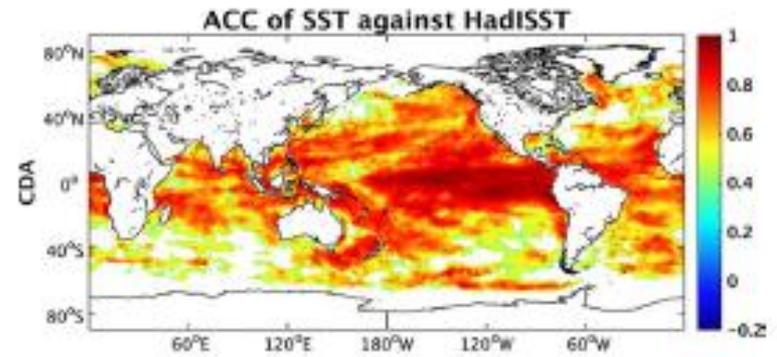
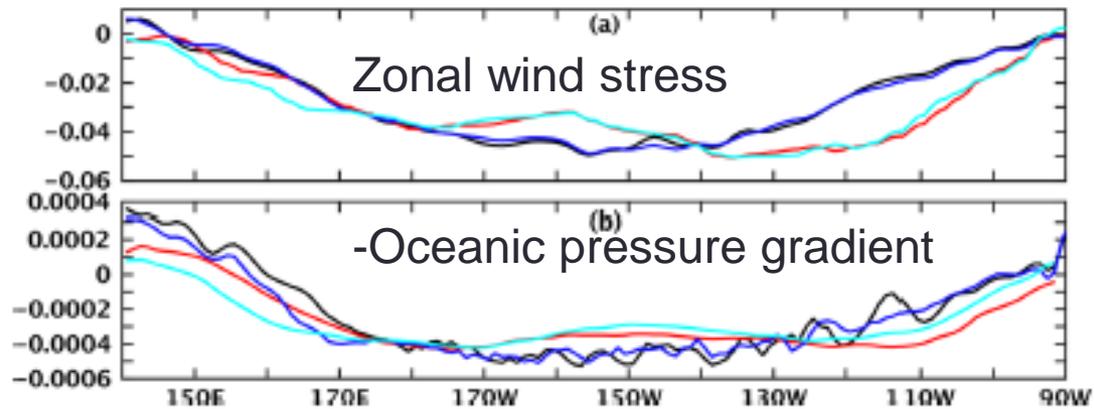


Fig. 5 Spatial distribution of the anomaly correlation coefficient for annual-mean SST between CDA and HadISST (*top*), and GECCO2 and HadISST (*bottom*). Only the significant correlation coefficients (at 95 % level) are shown here

Anomaly correlation coefficient

Huge initial shocks in tropical ocean



Red, Cyan: CDA
Black, Blue: GECCO2

↑ ↑
El Niño non-EN

Wind stress
/SST
Differences
(GECCO2-CDA)
after initial shock

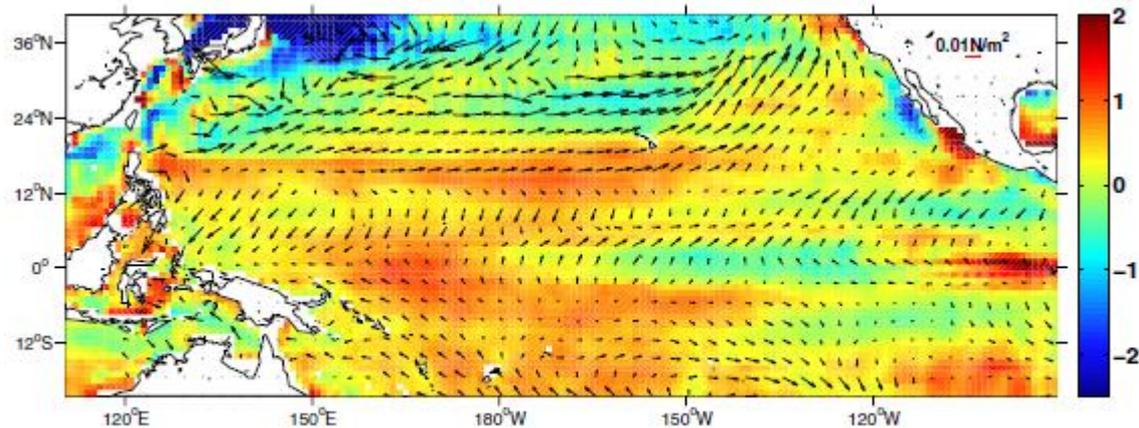
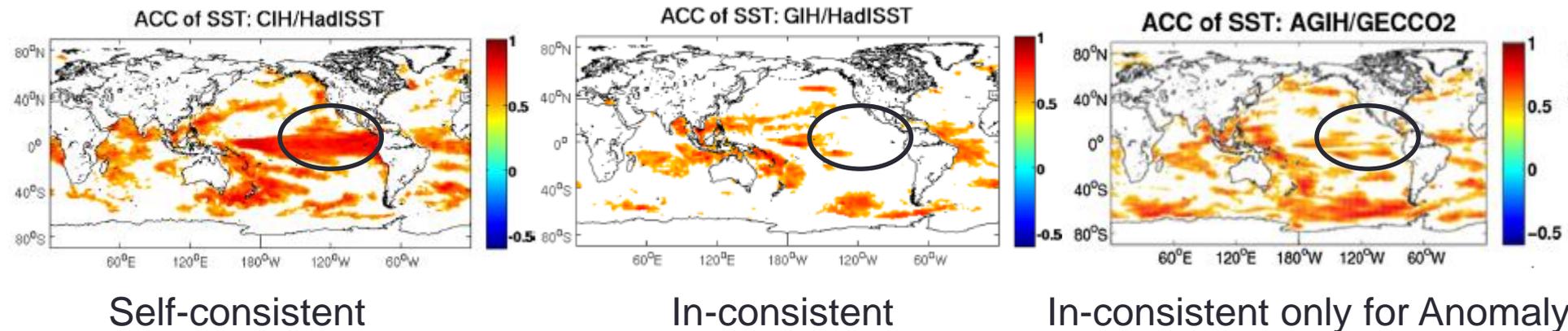


Fig. 10 The January SST/wind stress difference between GECCO2 and CDA as an average over pseudo El Niño years. Color shading indicates SST anomaly (°C) and the vectors indicate wind stress anomaly (N/m²)

Skill of SST forecast

Anomaly Correlation Coefficient with 1-year lead time.



**One example illustrating the importance of dynamical consistency.
Note: Not only representing values but structures based on
fundamental nature is vital for accurate forecasts.**

2) Results of multiyear climate prediction to illustrate how the 4D-Var approach can improve the skill in hindcasting pentadal climate changes

Multiyear climate prediction with initialization based on 4D-Var data assimilation

Mochizuki et al. JGR2016 (poster)

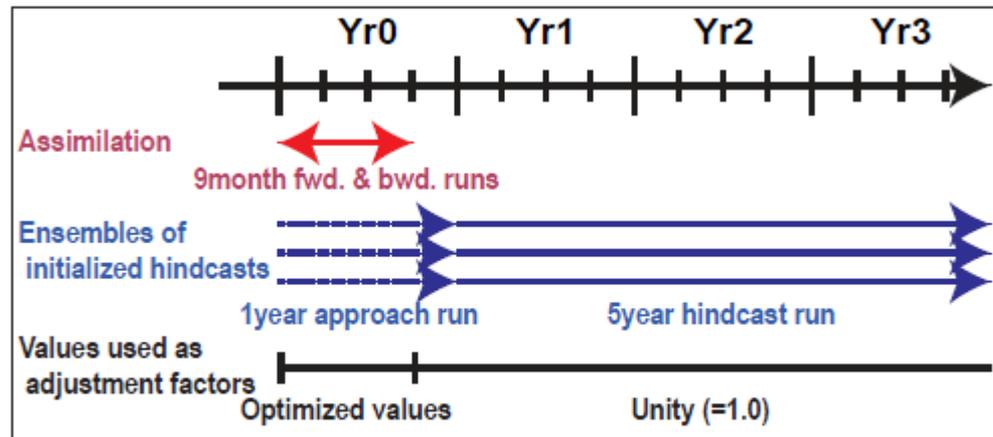


Fig. 1. Schematics of the experimental designs of the data assimilation and the subsequent ensembles of multiyear hindcasts.

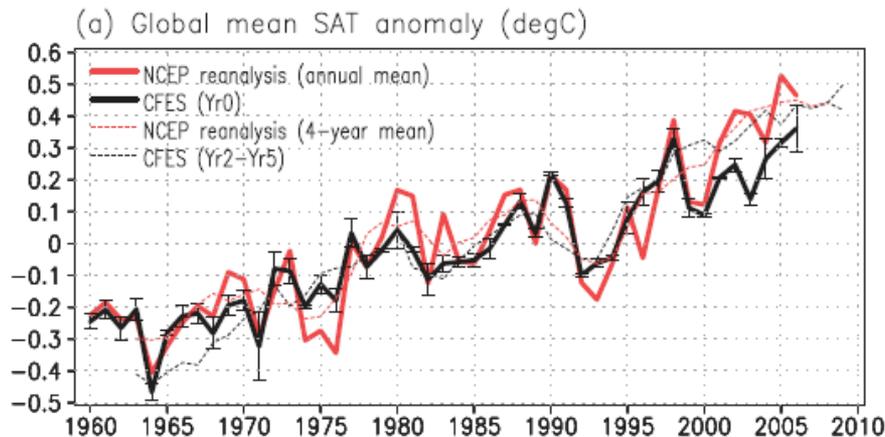
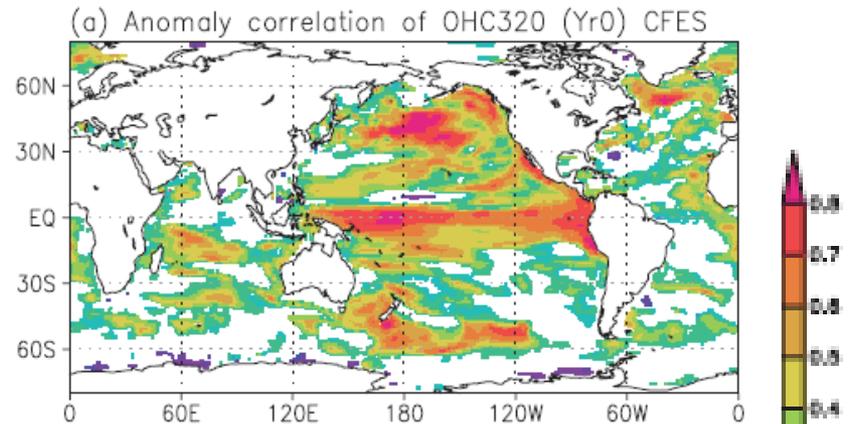


Fig. 2. (a) Global mean SAT anomalies (relative to the average during 1971-2000) derived from the CFES ensemble mean and the NCEP reanalysis. (b) Anomaly correlation coefficients of the CFES approach run (i.e., yr0) with the NCEP reanalysis at each grid point (>95% confidence levels). (c) The same as in panel b, except data are 4 year means of the CFES hindcasts in yr2-yr5.

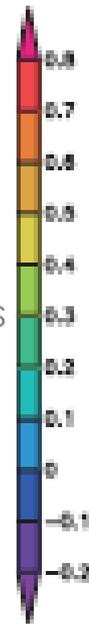
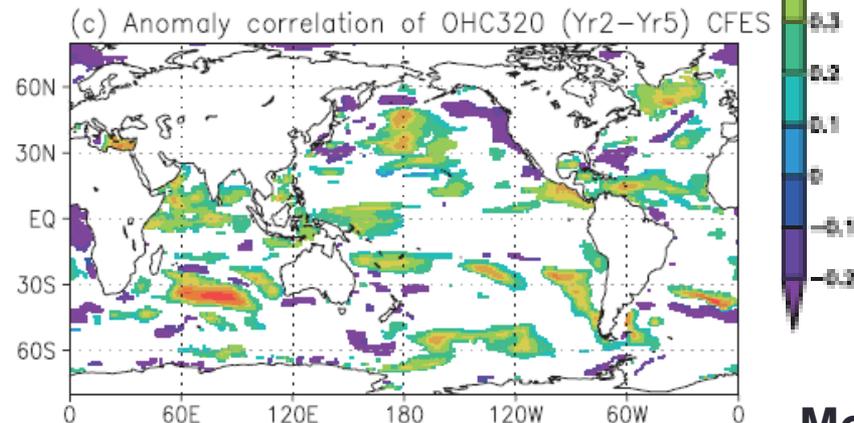
Multiyear climate prediction with initialization based on 4D-Var data assimilation

Annomaly correlation coefficient of ocean heat content (upper 320m)

Optimized
State
Estimation



Pentadal
Hindcast
(2-5yr mean)



The hindcasts in yr2-yr5 fairly reproduce the global mean states and exhibit high skills over the North Atlantic and Indian Oceans, consistent with the CMIP5 results.

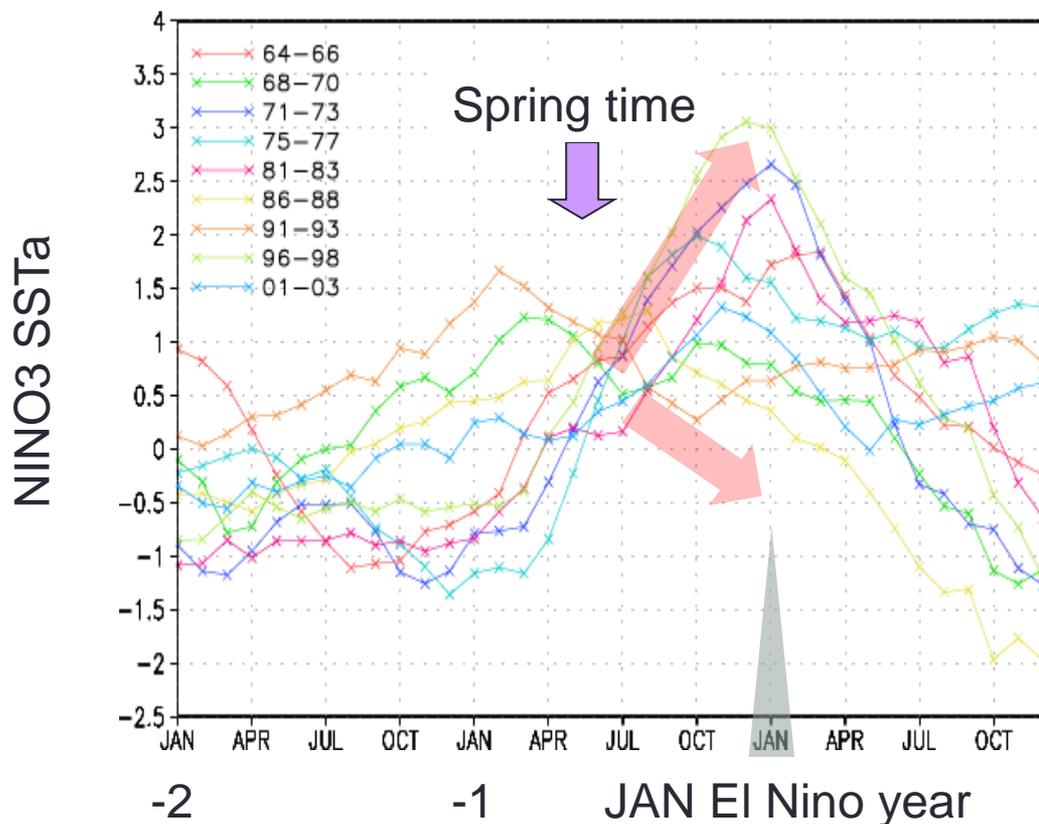
In addition, Pacific gets better...

Mochizuki et al. JGR2016 (poster)

Fig. 3. Anomaly correlation coefficients of the simulated OHC upper 320m with the ocean objective analysis (Ishii and Kimoto 2009 JO) (>90% confidence levels). Climate drifts and linear trends are removed at each grid point. Plotted values in the left and right panels are calculated using the CFES and MIROC5 simulations, respectively.

3) Applicability of our system to El Niño predictions

Spring Persistent Barrier



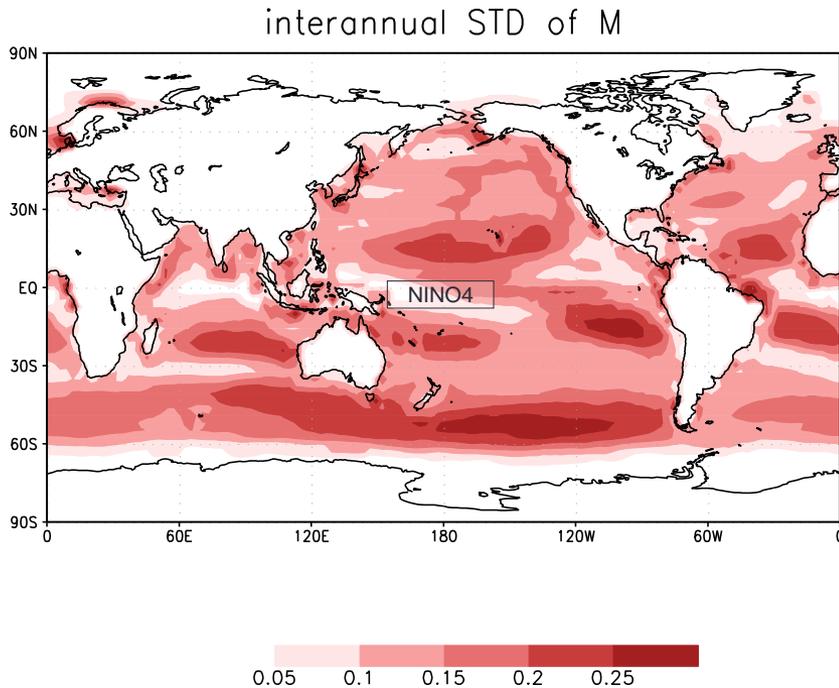
Our predictive capabilities were once more shown to be inadequate in 2014 when an El Niño event was widely predicted by international climate centers but failed to materialize.

opaque spring persistence barrier severely restricts longterm, accurate forecasting beyond boreal spring.

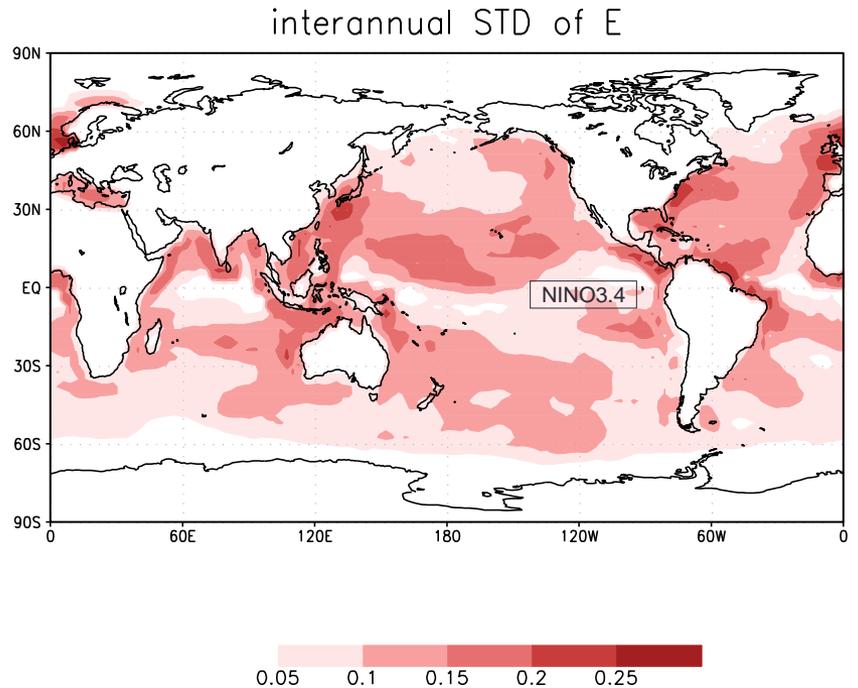
We have focused on a coupled data assimilation approach to analyze the temporal changes of climate state and reduce the abrupt drop in forecast skill that develops as a result of the SPB

Interannual variation of the bulk adjustment factors

For Momentum

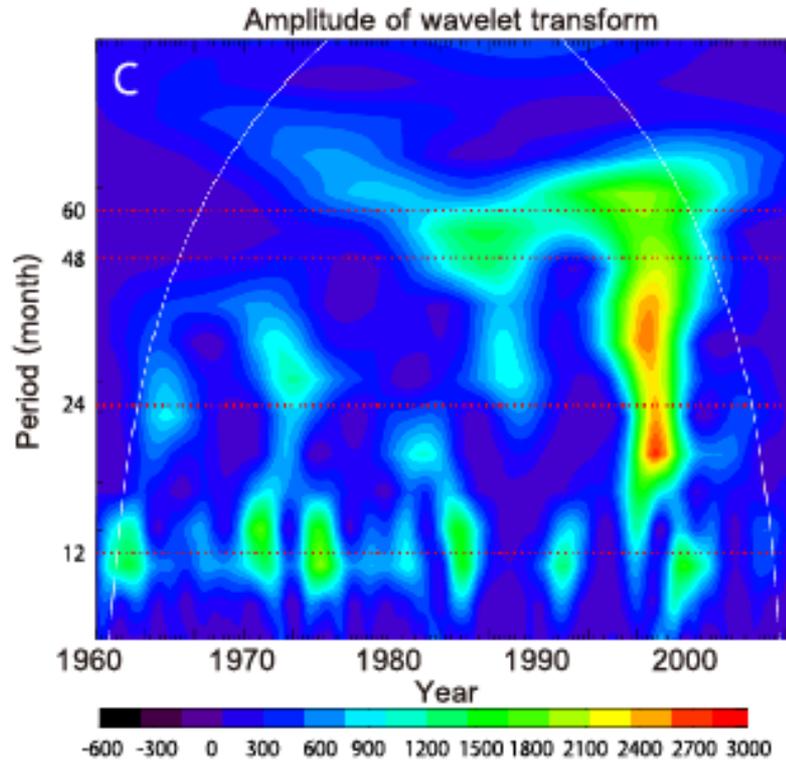


For Latent heat



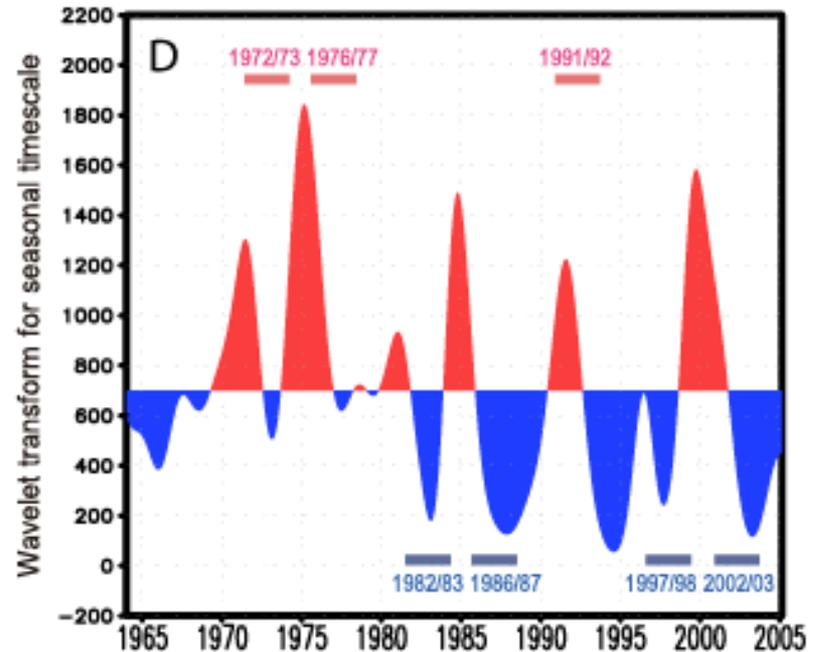
α is changeable year by year.....
(due to some missing factors of model/bulk formulation, etc.)

ENSO energetics



$$\overline{W' T'} + \overline{W T'}$$

Magnitude of wavelet transform of Mean perturbation wind power (W_{mp}) averaged in 150°E-100°W, 5°S-5°N.

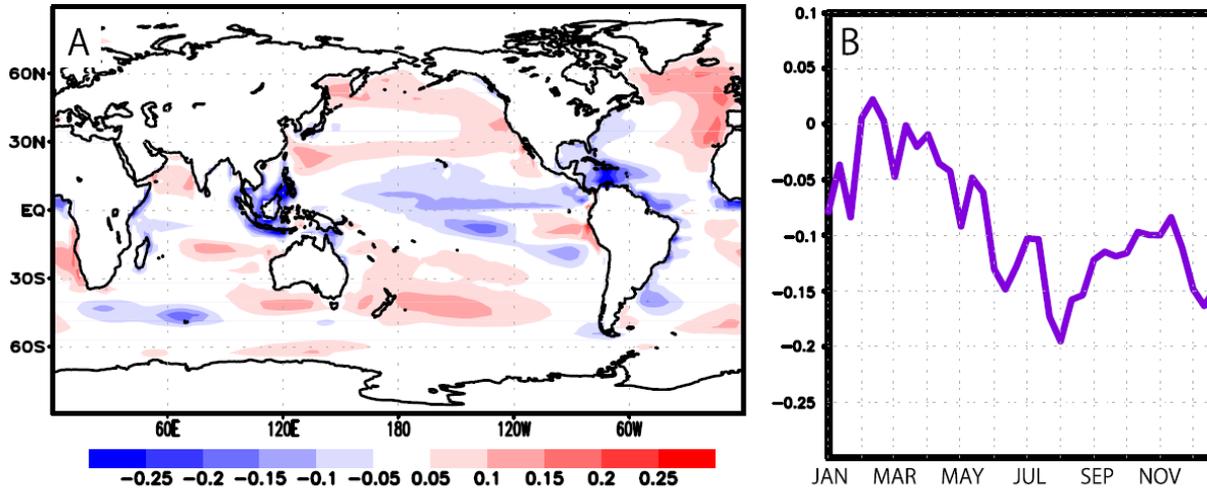


Decomposed W_{mp} spectrum on seasonal timescale.

The annual exchange of kinetic energy between the atmosphere and ocean responsible for ENSO genesis is modulated on pentadal to decadal timescales largely independent of the inherent ENSO variability

A new approach to ENSO prediction

Optimized bulk adjustment factor for momentum

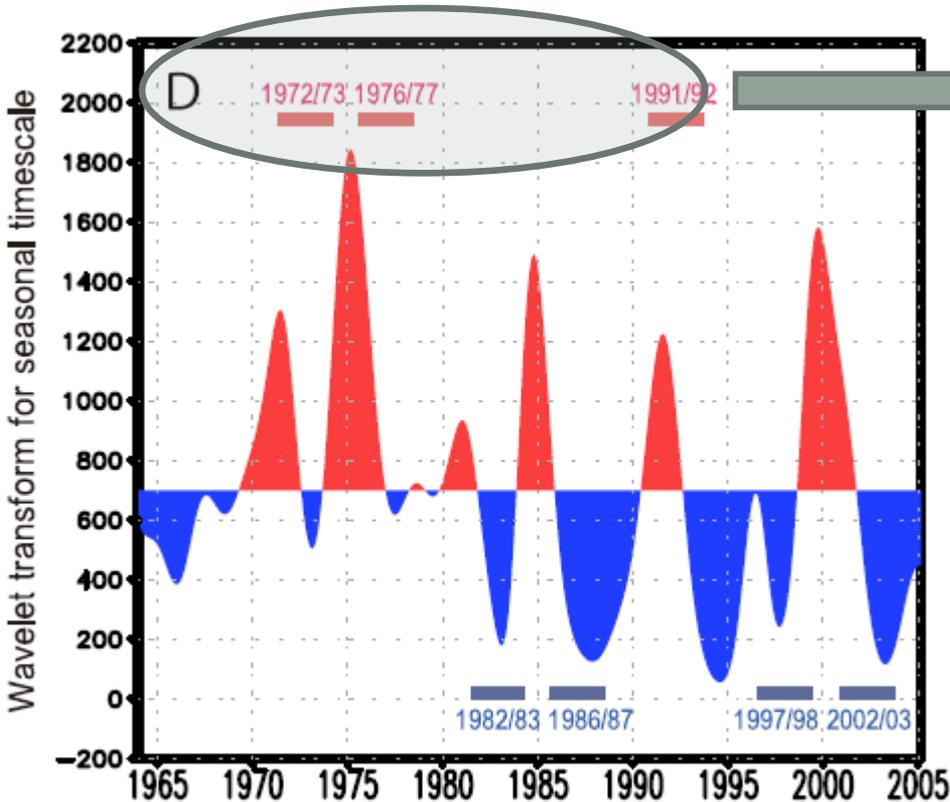


$\text{Clim}(x,y,36)$

We start by constructing a set of seasonal adjustment factors “Clim” from the climatology by simply averaging the historical values of the optimal adjustment factor which are calculated over the 27-year period from 1980 to 2006. (10-daily value)

This will be applied in ENSO forecast.

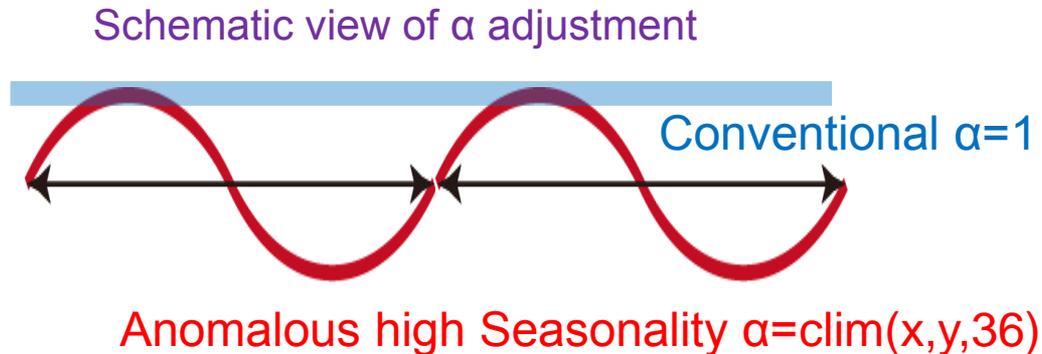
A new approach to ENSO prediction



we identify which phase of the pentadal to decadal cycle in the tropical seasonal state is appropriate on the basis of the estimated time series of Wmp.

Under the assumption that long-term modulations continue along their recent trend within a few years of prediction, we determine the values of the appropriate adjustment factor for the future projection.

Adjusting coupling parameters in ENSO forecast

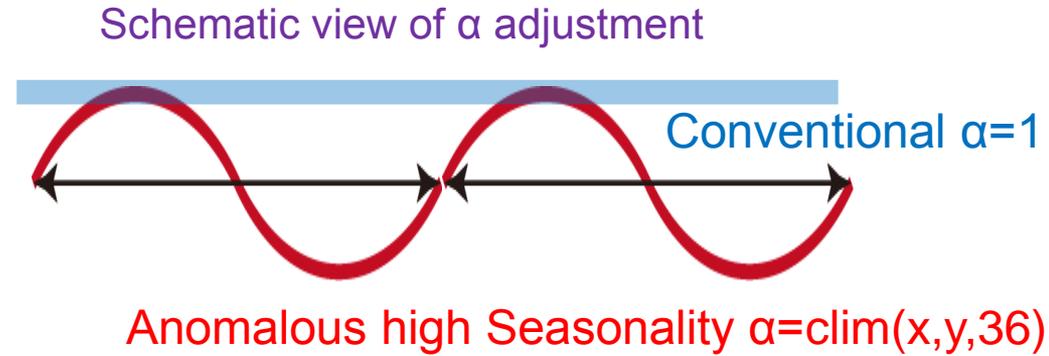
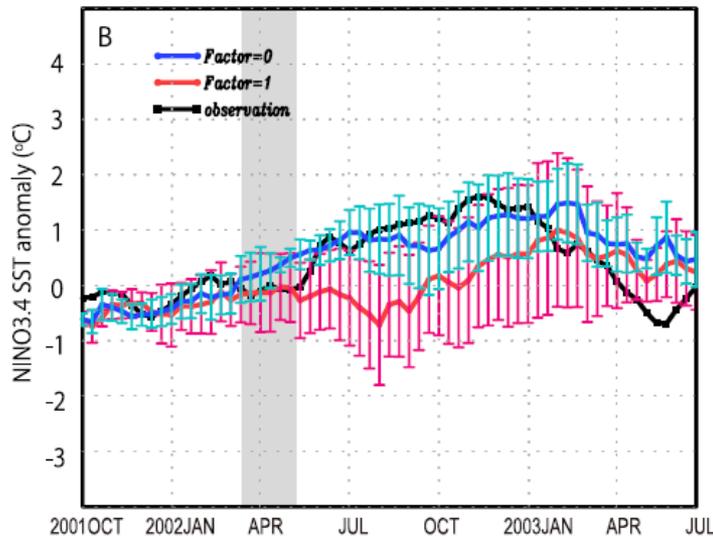
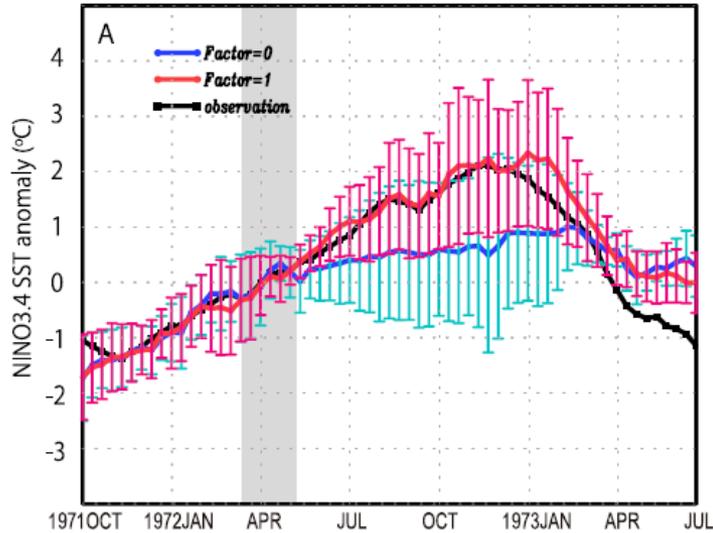


For practical use, we simply apply the adjustment factor as either a “1” or a “Clim”.

☆”1” are relevant to periods with relatively weak seasonal variations in energy exchange

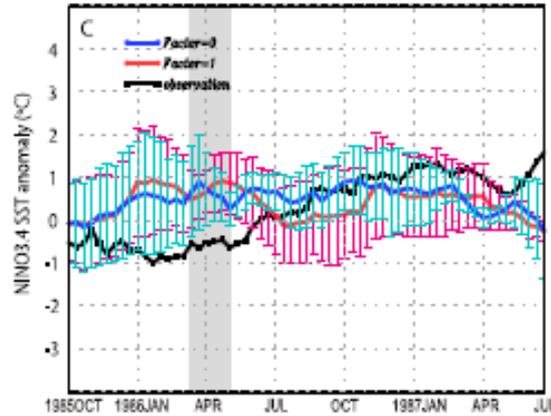
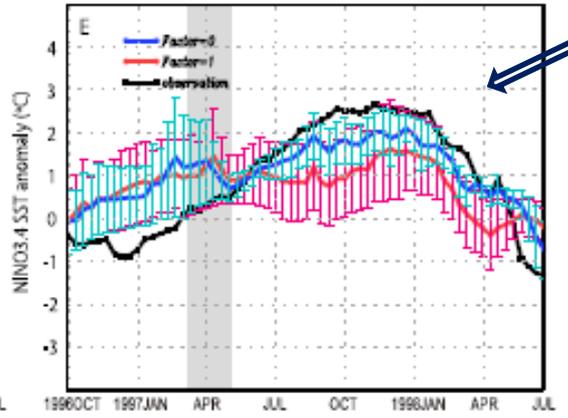
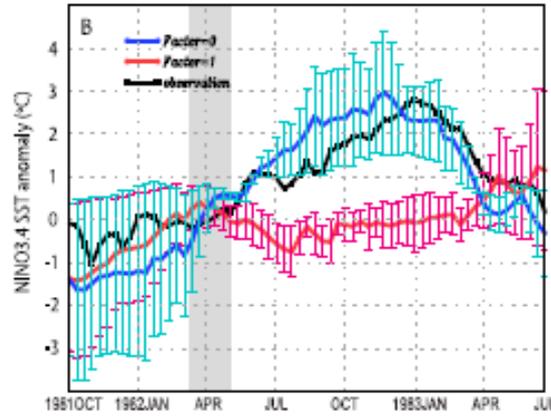
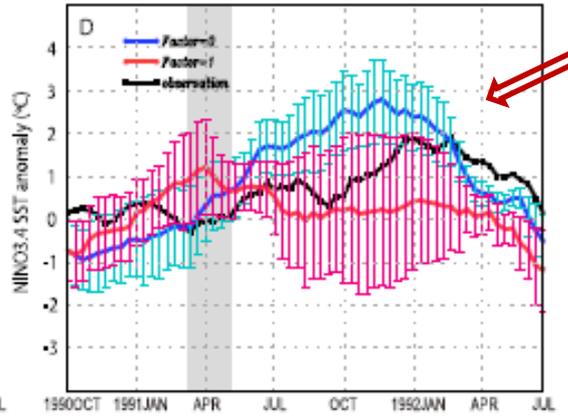
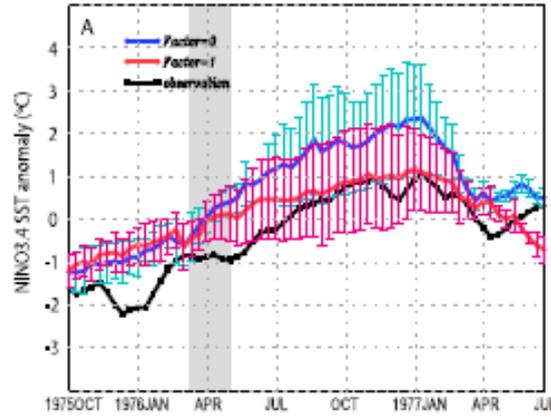
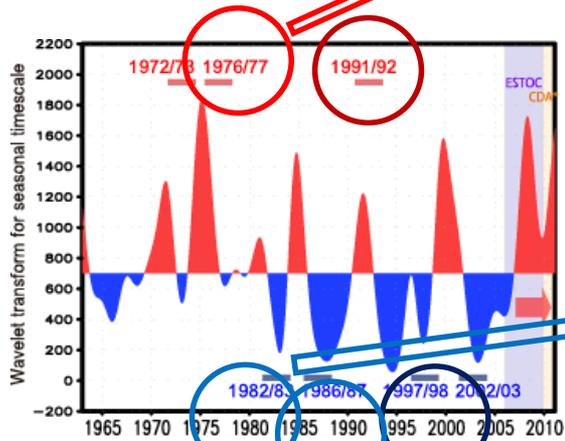
☆”Clim” should be applied to (pentadal/decadal) periods with strong seasonality such as in the 1970 s, so that **the modeled coupling intensities are boosted by their respective seasonal adjustment factor.**

Results of hindcast for the past major El Niños

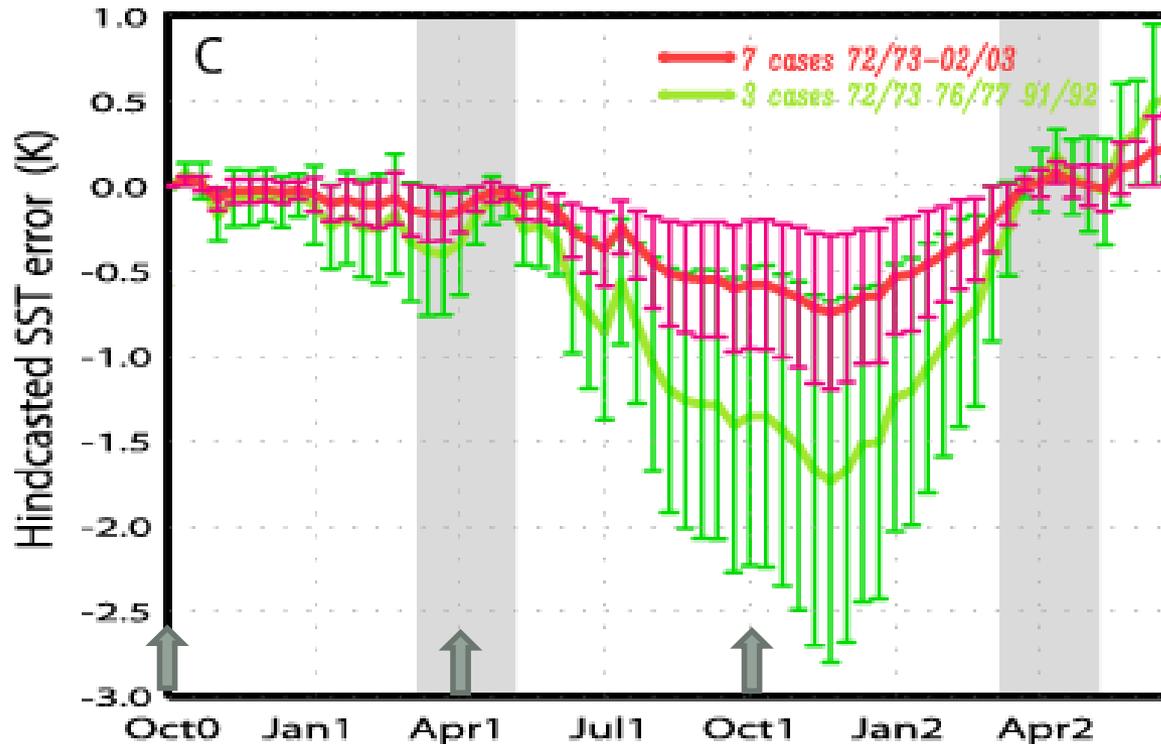


This adjustment can clearly control the bifurcation behavior of El Niño development after spring time.

Validation



Error reduction



Prediction error estimated by difference in root mean square differences for hindcasted NINO3.4 SSTs between conventional and advanced predictions for 7 El Niño events (red) and 3 events in a period of strong seasonality (green). **Note: Being a priori info given.**

Summary

The benefit of initializing a decadal prediction system with dynamically consistent initial conditions is explored by comparing multi-year-hindcast results with different ocean initial conditions. We can clearly identify that not only representing values but structures based on fundamental nature is vital for accurate forecasts.

---In our case, the most significant improvement is identified over the tropical Pacific. Inconsistency between wind stress (atm.) and pressure field (ocn) caused critical shock at the initial stage of hindcasts.

By using dynamically self-consistent initial conditions, the hindcasts in yr2-yr5 fairly reproduce the global mean states and, in particular, exhibit high skills over the North Atlantic and Indian Oceans, consistent with the CMIP5 results.

[Pacific => see the poster by Dr. Mochizuki]

Our new coupled climate simulation which incorporates long-term influences directly, generates more accurate hindcasts for the major historical El Niños. The error value between predicted and observed sea surface temperature (SST) in a specific tropical region can consequently be reduced by 0.6 Kelvin for one-year predictions.

Future work: one theoretical approach

PHYSICAL REVIEW E 93, 052212 (2016)

Coarse-grained sensitivity for multiscale data assimilation

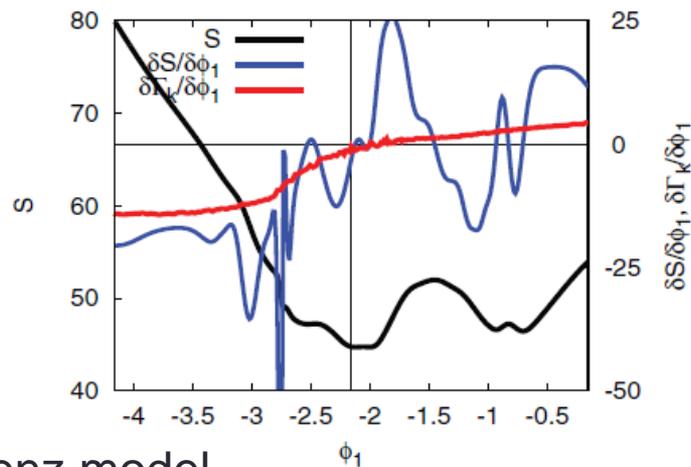
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(Received 30 October 2015; revised manuscript received 22 February 2016; published 13 May 2016)

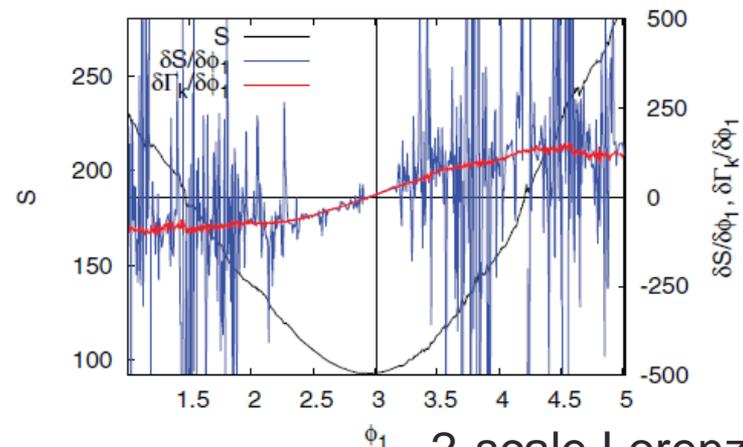
We show that the effective average action and its gradient are useful for solving multiscale data assimilation problems. We also present a procedure for numerically evaluating the gradient of the effective average action and demonstrate that the variational problem for slow degrees of freedom can be solved properly using the effective gradient.

Availability of effective average action is been examining.



Lorenz model

FIG. 6. Action $S[\phi]$ (black curve), gradient of action $\delta S[\phi]/\delta\phi_1$ (blue curve), and effective gradient of action $\delta\Gamma_k[\phi]/\delta\phi_1$ (red curve) for the Lorenz model. The true value for the data assimilation problem is $\phi_1 = -2.156$ and the first guess is -0.156 .



2-scale Lorenz model

FIG. 7. Action $S[\phi]$ (black curve), gradient of action $\delta S[\phi]/\delta\phi_1$ (blue curve), and effective gradient of action $\delta\Gamma_k[\phi]/\delta\phi_1$ (red curve) for the two-scale Lorenz model. The true value for the data assimilation problem is $\phi_1 = 3.011$ and the first guess is 5.011 .

This hopefully leads to a new adjoint-based system.