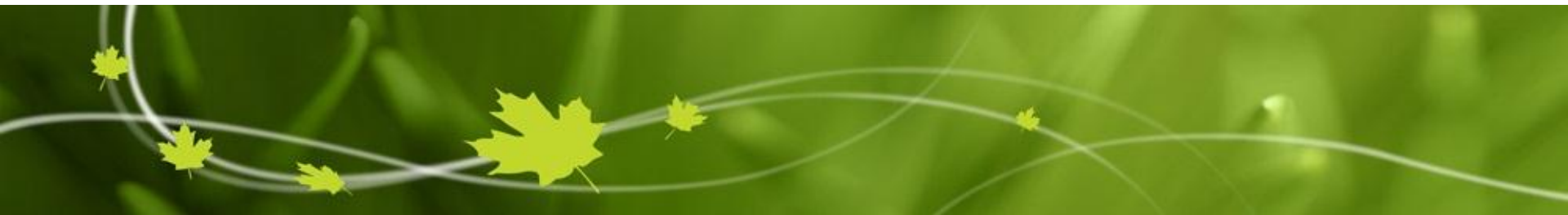




Environment and  
Climate Change Canada

Environnement et  
Changement climatique Canada

Canada



# Sea Ice Data Assimilation for Coupled Prediction at Environment and Climate Change Canada

**International Workshop on Coupled Data Assimilation  
Toulouse, France**

**Mark Buehner, Alain Caya, Anna Shlyaeve, Greg Smith**

Meteorological Research Division

**Tom Carrieres, Lynn Pogson, Michael Ross**

Marine and Ice Services Division

**18-21 October 2016**

# Contents

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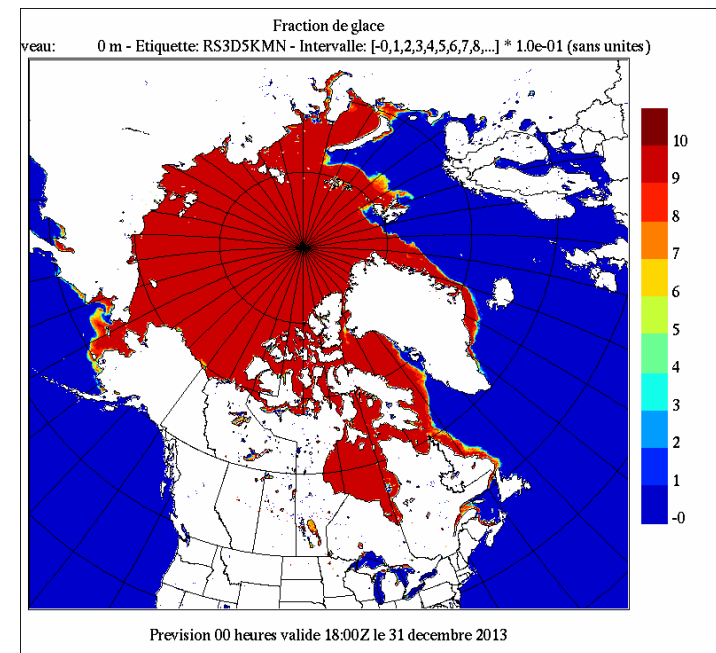
- Regional Ice Prediction System
  - System overview
  - Recent addition of new observations
- Ensemble covariances for strongly coupled assimilation
- Initialization of global coupled forecasts
- Conclusions



# Regional Ice Prediction System

Originally created for supporting operational ice service

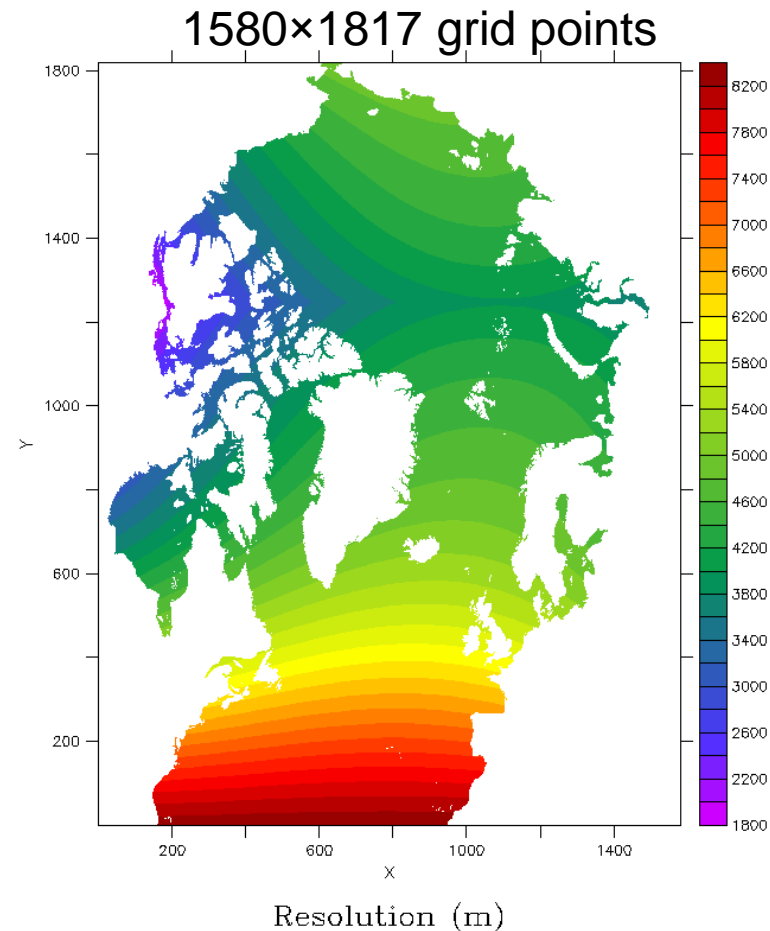
- RIPS v2.2.1:
  - ~ 5.0 km resolution
  - 4 analyses and forecasts per day
- Analysis system (Buehner et al. 2016)
  - estimates total ice concentration and analysis error stddev
  - background = analysis 6 hours earlier
  - observation types assimilated:
    - CIS image analyses, CIS daily and **regional ice charts**, lake bulletins
    - SSM/I, SSM/IS (3 satellites), **AMSR2**, ASCAT, **AVHRR**
  - ice removed where SST > 4°C
  - ice field corrected where analysis-error is high



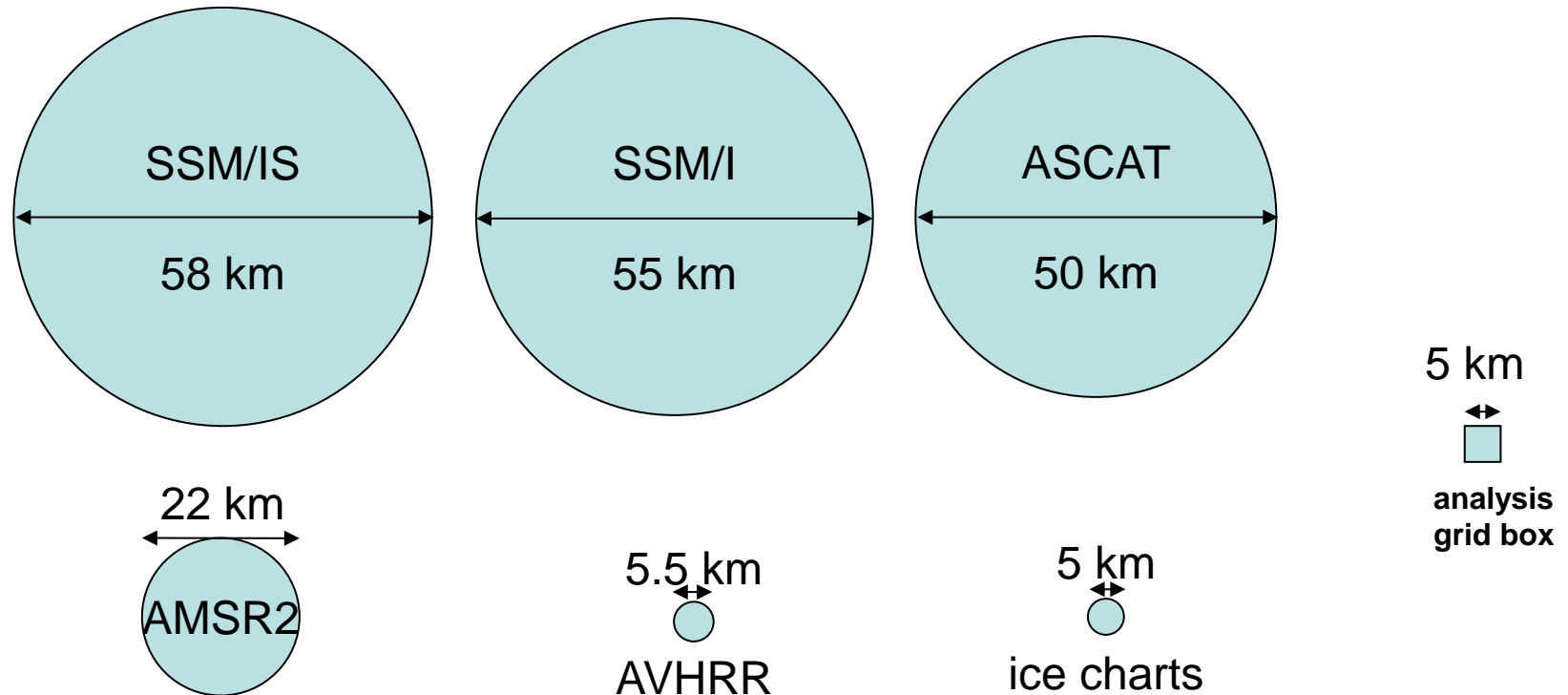
1768 × 1618 grid points

# Forecast system

- CICE sea ice model coupled with NEMO
- Subset of ORCA grid, 1/12° spacing
- Spectral nudging of ocean T&S to Global Ice Ocean Prediction System (in lieu of ocean assimilation)
- 48 hour forecasts
- Lemieux et al. (2014) for more details



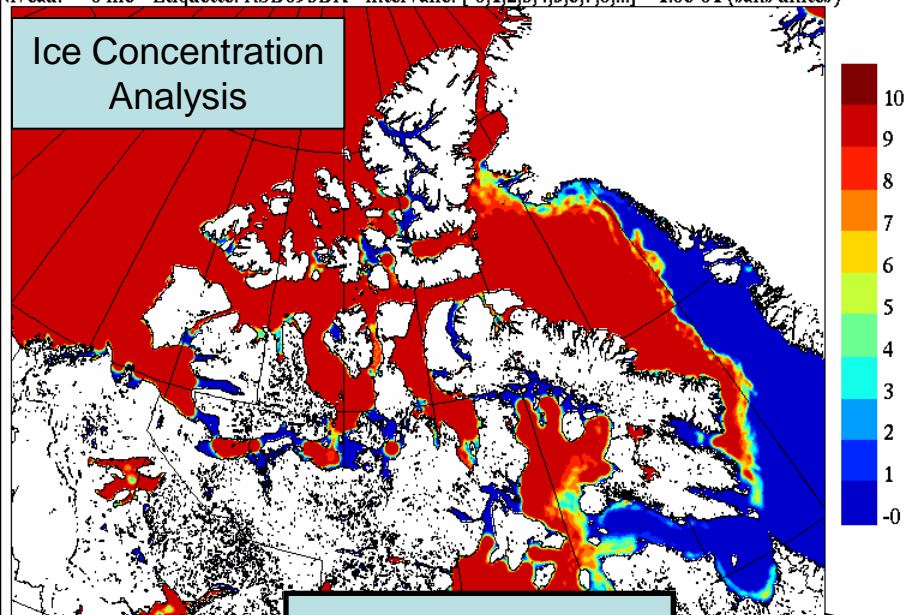
# Observation footprints



Observations must be rejected if footprint touches land, removing many obs near coast and in narrow channels

Fraction de glace  
Niveau: 0 mb - Etiquette: RSD093DX - Intervalle: [-0,1,2,3,4,5,6,7,8,...] \* 1.0e-01 (sans unites)

## Ice Concentration Analysis

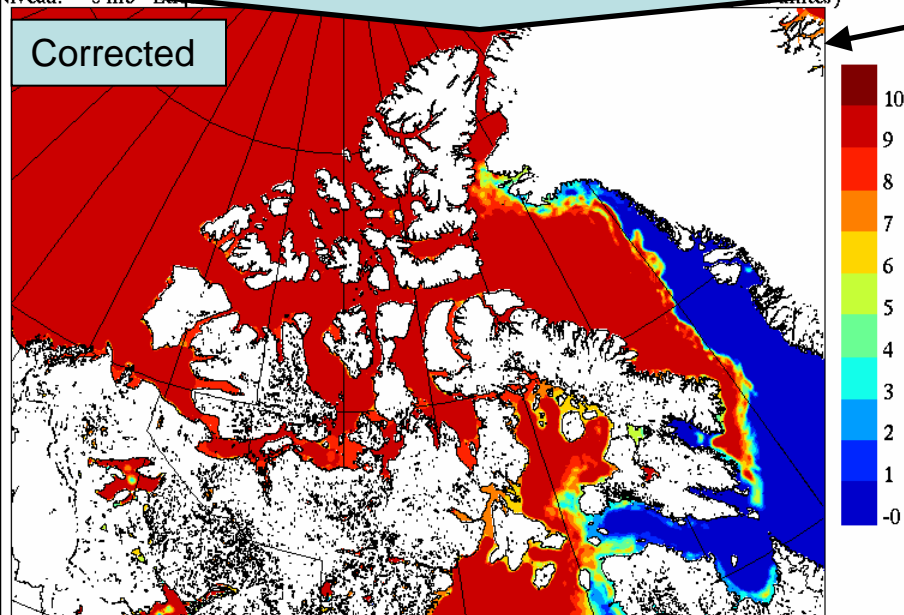


Prevision 00

Correction where  
 $\sigma_a \geq 0.6$

Niveau: 0 mb - Etiquette: RSD093DX - Intervalle: [-0,1,2,3,4,5,6,7,8,...] \* 1.0e-01 (sans unites)

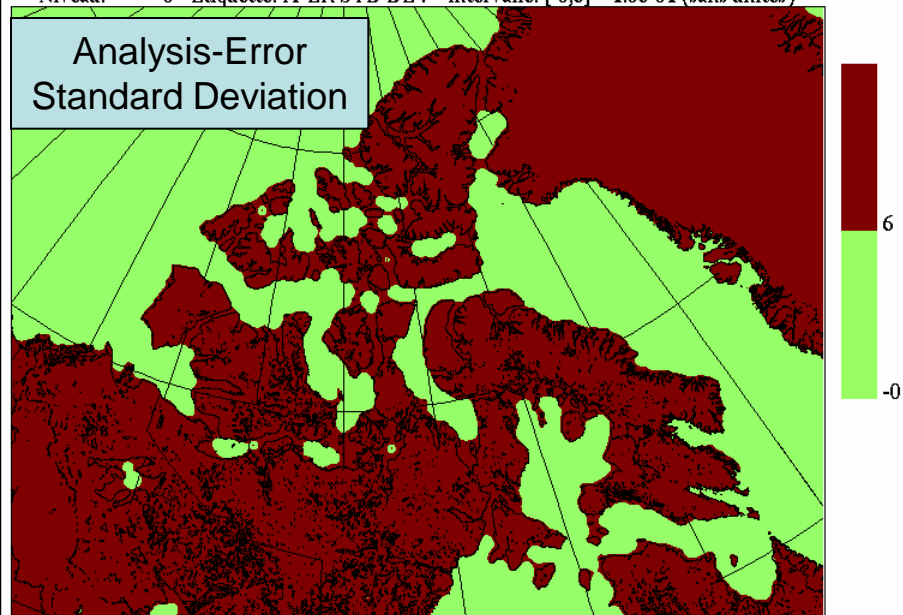
## Corrected



Prevision 00 heures valide 18:00Z le 31 decembre 2010

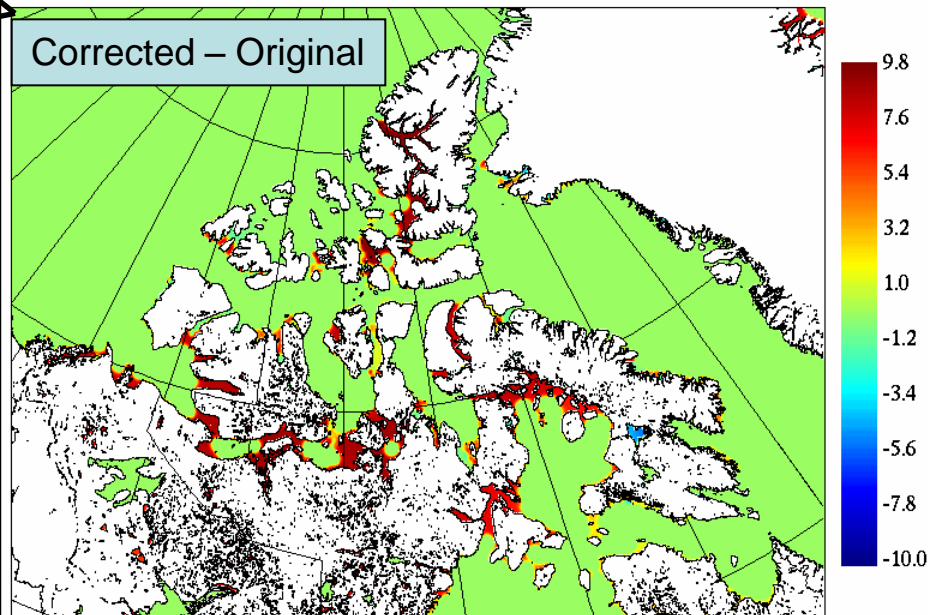
Fraction de glace  
Niveau: 0 - Etiquette: A-ER STD DEV - Intervalle: [-0,6] \* 1.0e-01 (sans unites)

## Analysis-Error Standard Deviation



Analyse valide 18:00Z le 31 decembre 2010

## Corrected - Original



[LG-GL]\*P@\* 0 mb 0\* 0\*V20101231.180000\*RSD093DX

# Passive microwave data

## SSMI, SSMIS, AMSR2

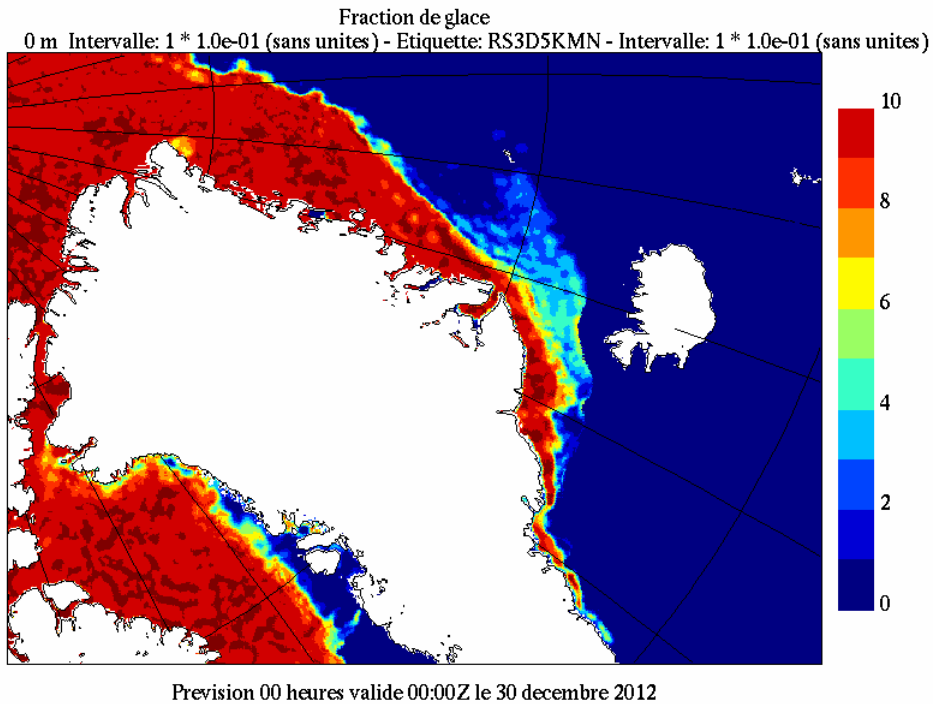
---

- Assimilation:
  - Ice concentration from NASA Team 2 (NT2) retrieval algorithm
  - Use "footprint" observation operator that aggregates gridded ice concentration over the larger footprint of instrument
- Reject data when:
  - Surface Air Temperature above 0°C, to reduce bias
  - Retrieved ice concentration is not zero AND
    - Sea Surface Temperature (SST) is above 4°C OR
    - Historical frequency of occurrence is 0 OR
    - **Wind filter: Wind speed > 25 knots**
- Background check:
  - Reject entire observation swath with bad/corrupted data (based on average RMS difference with background state)

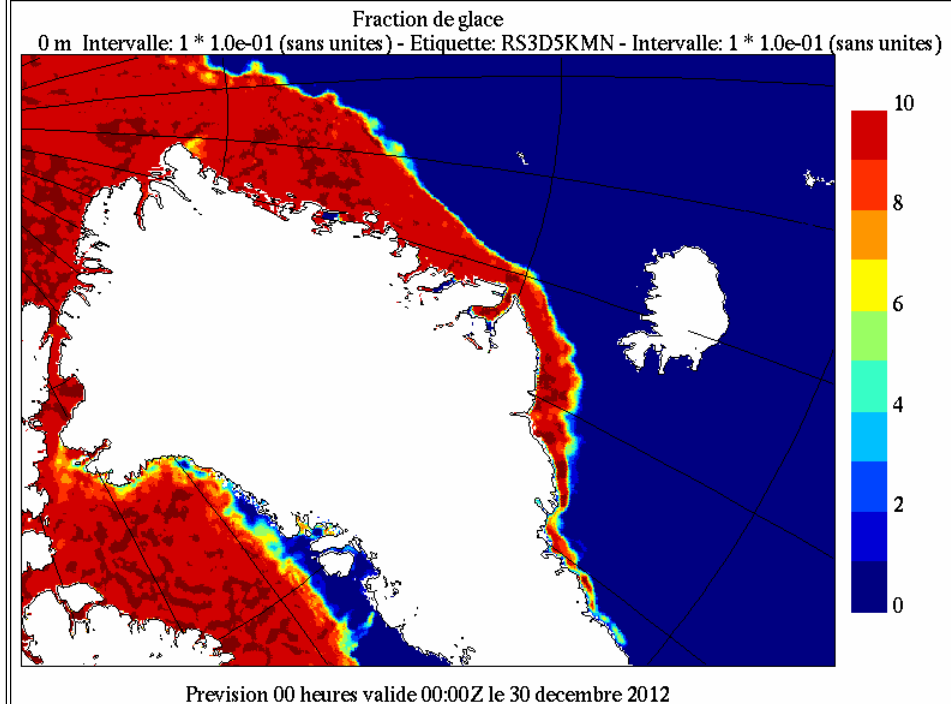


# Effect of wind filter on the sea ice analysis

No wind filter for SSMI(S)



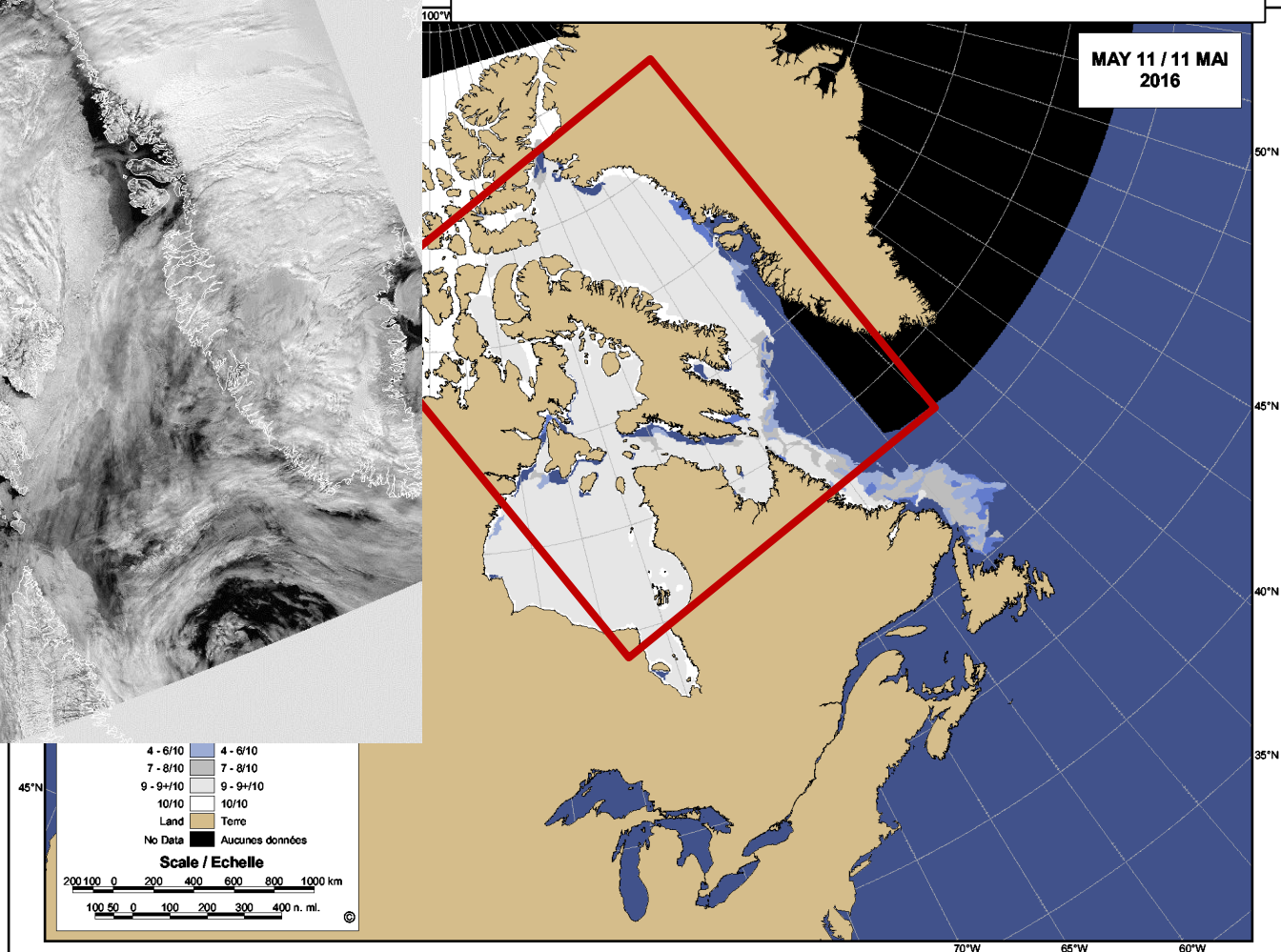
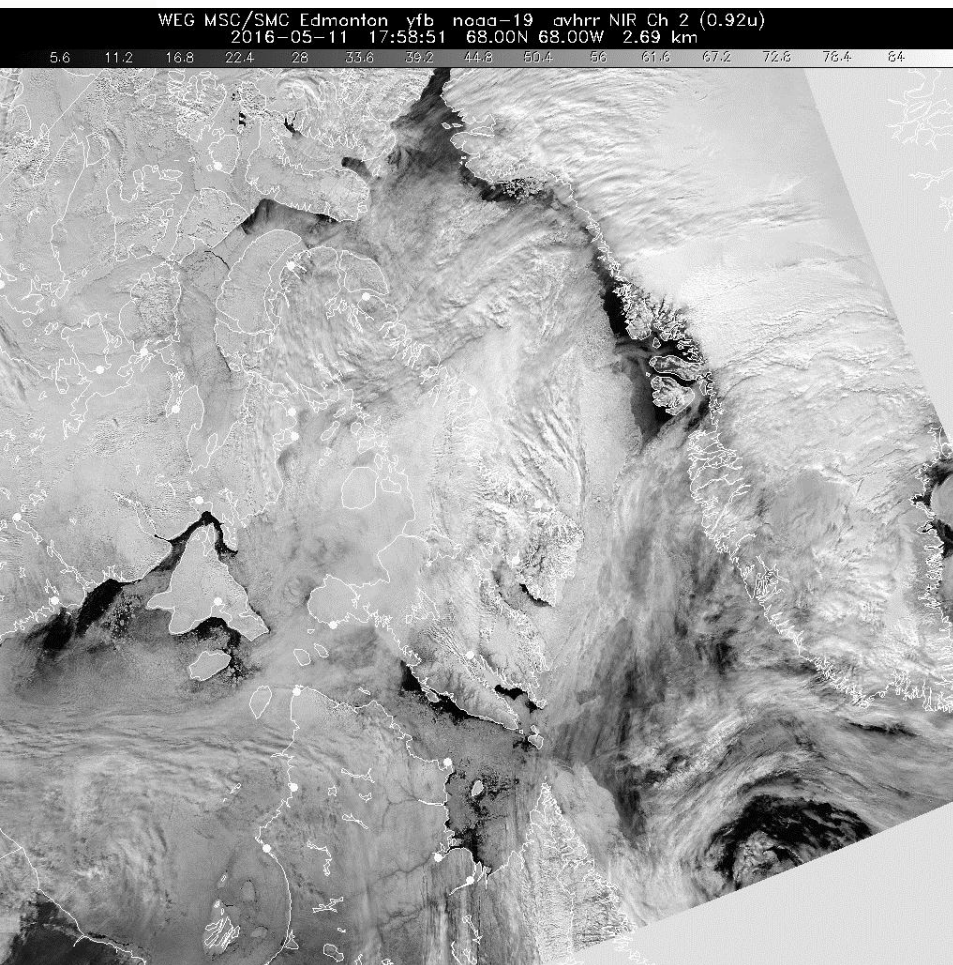
With wind filter for SSMI(S)



# High-resolution AVHRR data

Developed an improved algorithm to filter out most clouds

Developed a simple classification:  
ice / water / ambiguous / thin cloud

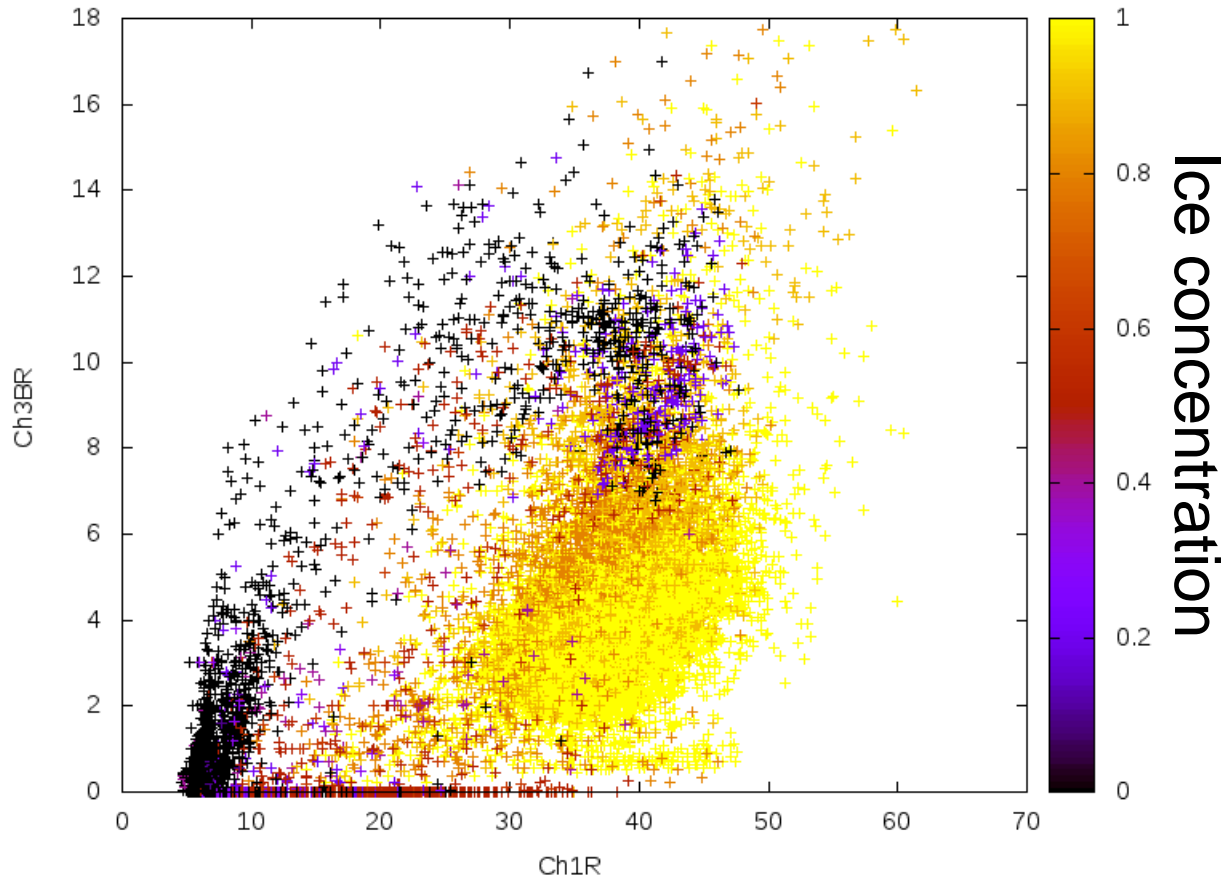


Environmer  
Climate Ch

# Example: 2011-08-05 16Z Western Arctic

(after thick cloud removed)

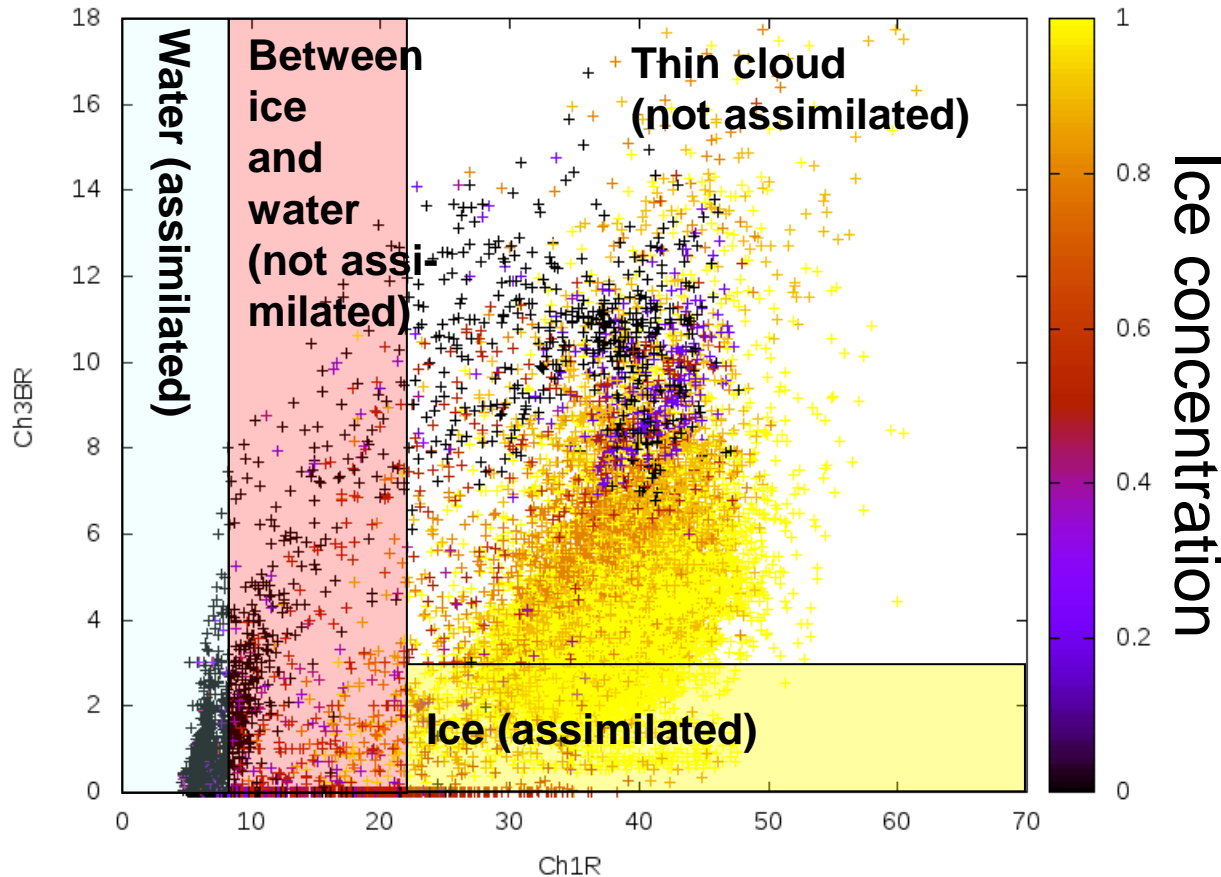
Ice concentration from CIS image analysis



# Example: 2011-08-05 16Z Western Arctic

(after thick cloud removed)

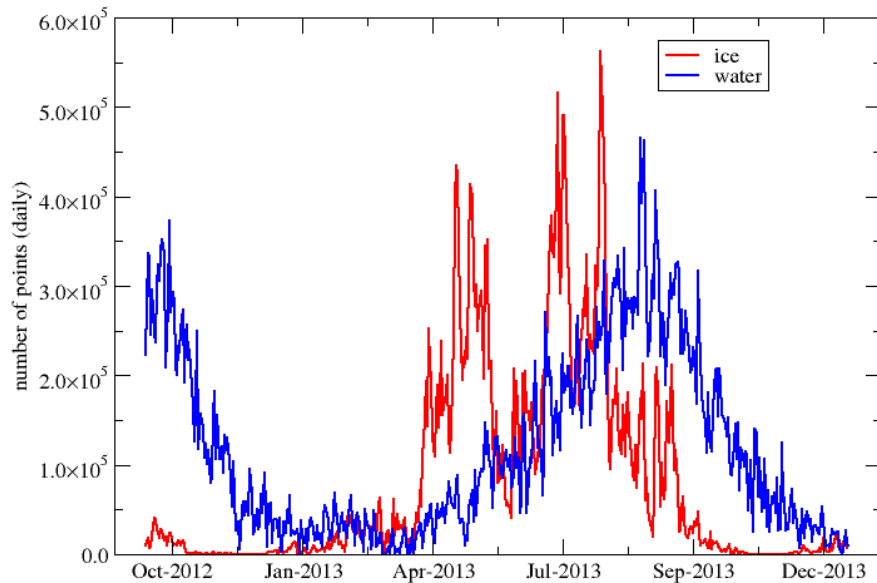
Ice concentration from CIS image analysis



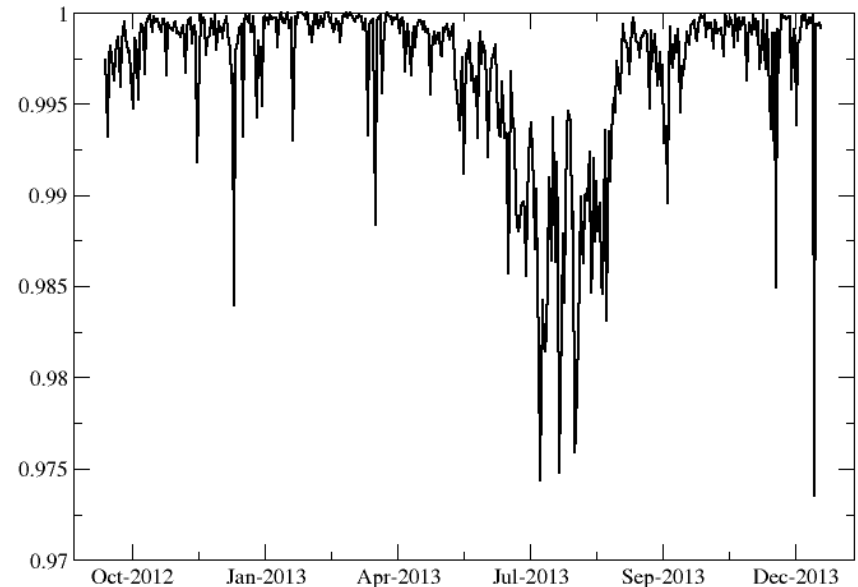
- Most tests done assimilating *Ice* as 100% concentration and *Water* as 0%
- Slightly improved when *Ice* assimilated as:
  - 85% when background concentration < 85%
  - Otherwise, rejected (background already consistent with obs)

# Retrieval of Ice and Open water from AVHRR data

Number of AVHRR retrievals:  
**Ice** and **open water**



AVHRR retrievals:  
Total proportion correct  
(relative to IMS)

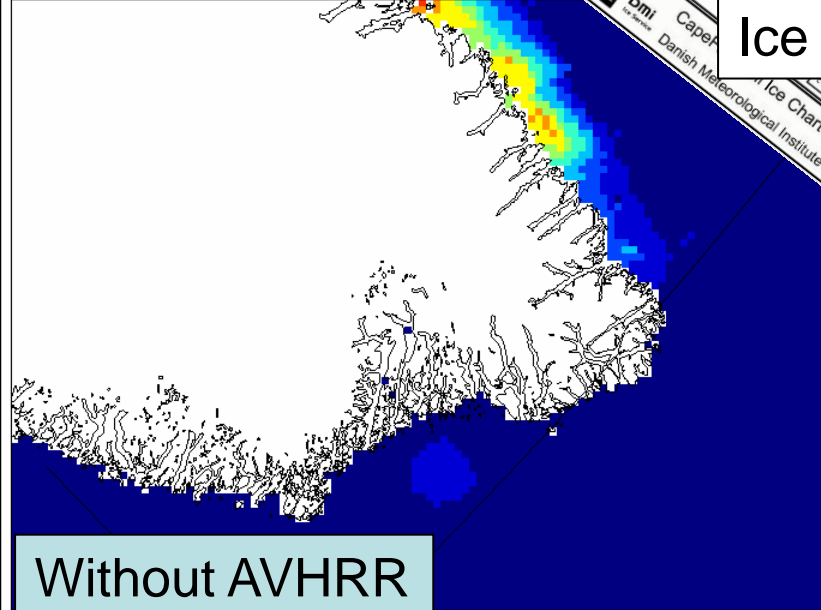


# Impact of AVHRR data on the ice concentration analysis

Cape Farewell  
21 June 2013

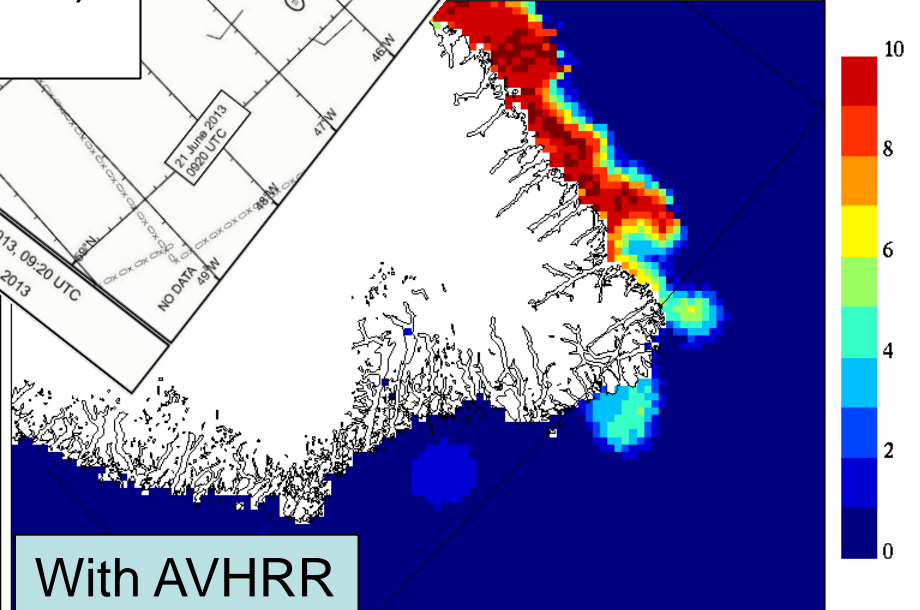
Danish (DMI)  
Ice Chart

Fraction de glace continue  
0 m Intervalle:  $1 \times 1.0e-01$  (sans unités) - Etiquette: RS3D5KM



Prevision 00 heures valide 12:00Z le 21 juin 2013

Fraction de glace continue  
0 m Intervalle:  $1 \times 1.0e-01$  (sans unités) - Etiquette: RS3D5KMN



Prevision 00 heures valide 12:00Z le 21 juin 2013

# Runs from 2012-09-01 to 2013-12-31

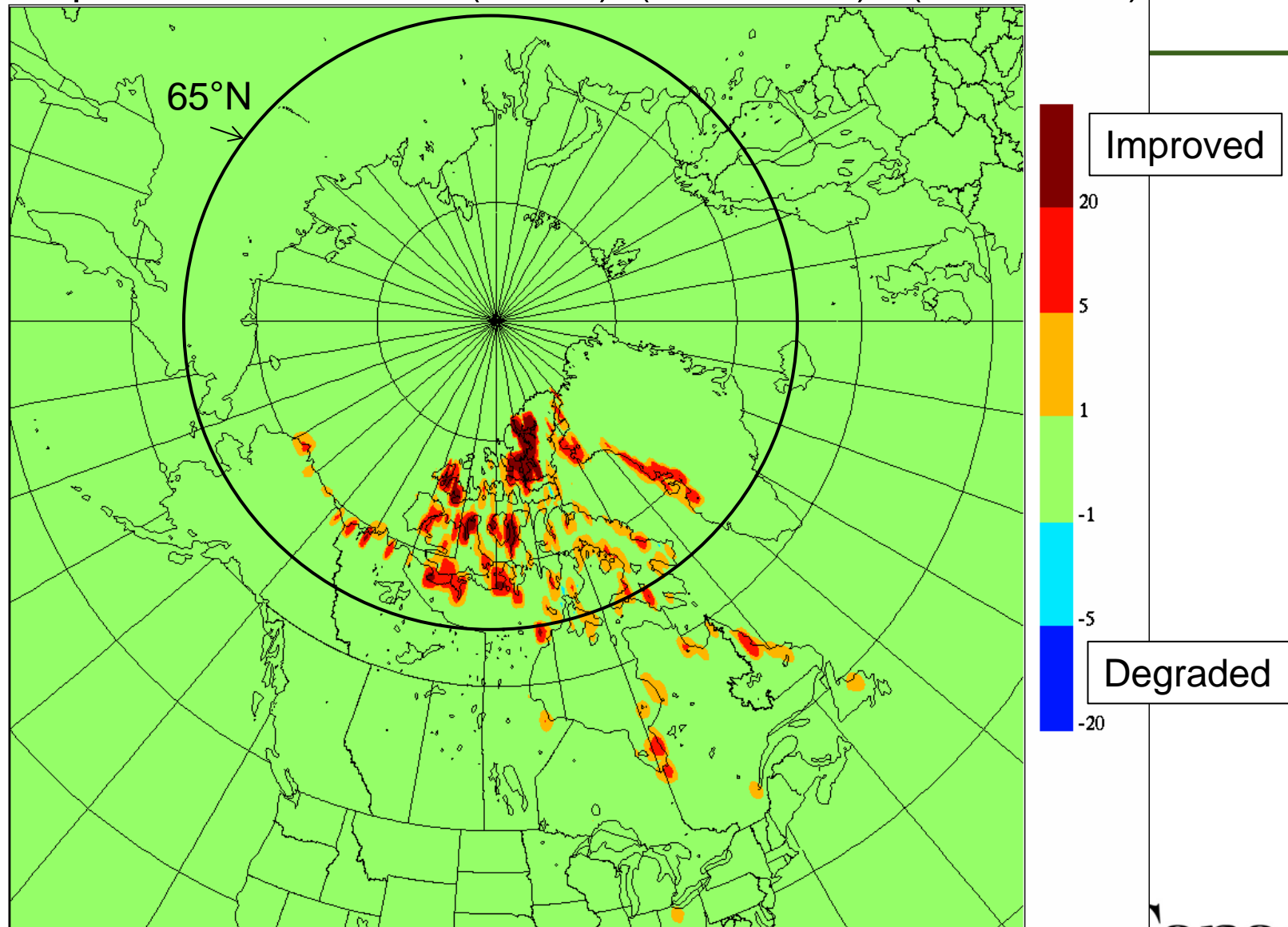
---

- RIPS 2.1.1: control run
- RIPS 2.2.1: control run
  - + assimilation of CIS regional charts data
  - + assimilation of AMSR2 data
  - + assimilation of AVHRR data (ice / open water)
  - + wind filter on all passive microwave data



# Impact of CIS REG (2012/09/01 – 2013/12/31)

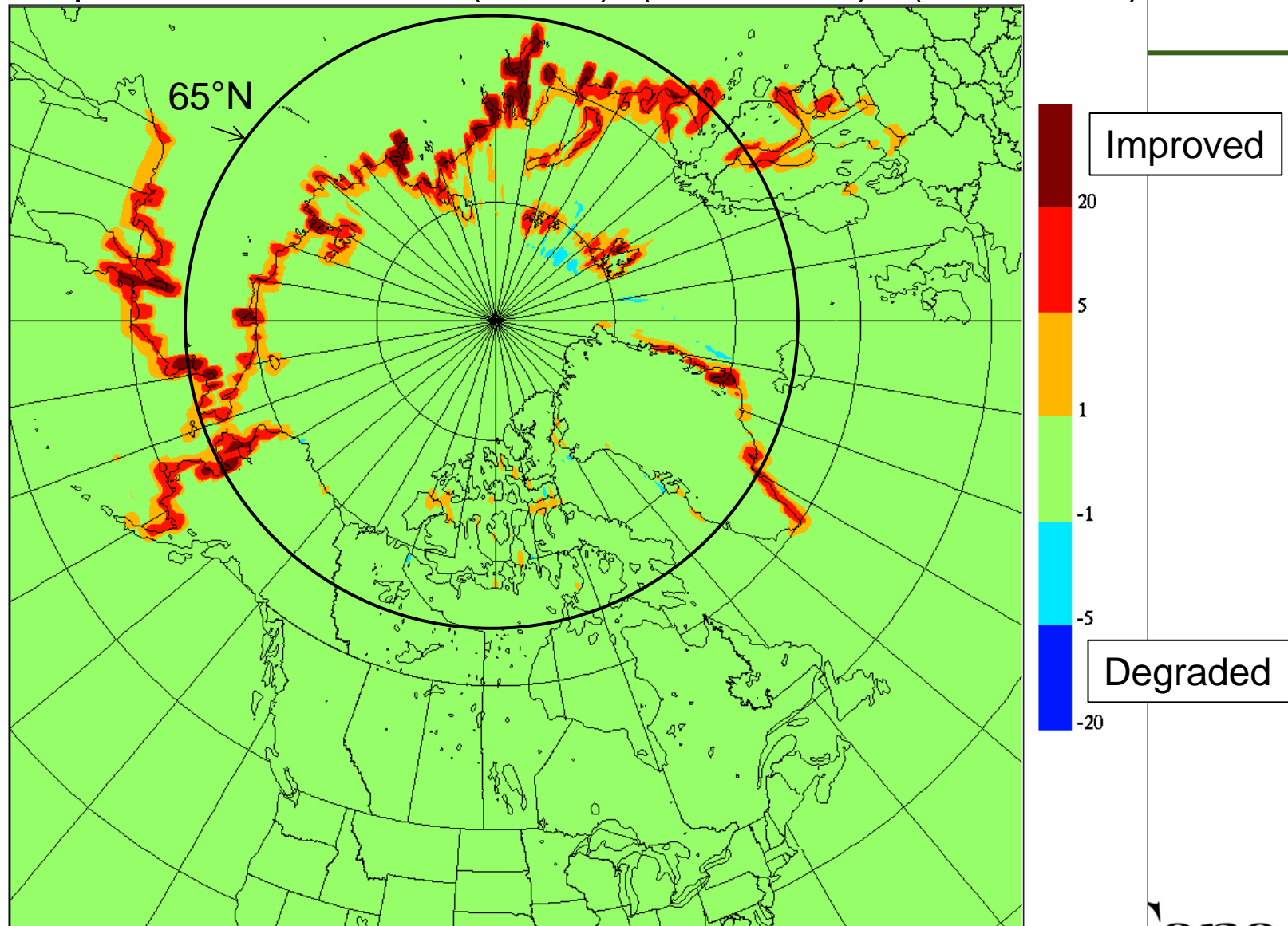
Proportion Correct Total (for GL): (RIPS 2.1.2) - (RIPS 2.1.1)



PCT\*X\* 0 mb Intervalle: [-20,-5,-1,1,5,20] \* 1.0e-02 0\* 0\*V19101010.100000\*[RIPS2.1.2-RIPS2.1.1]

# Impact of AMSR2 data (2012/09/01 – 2013/12/31)

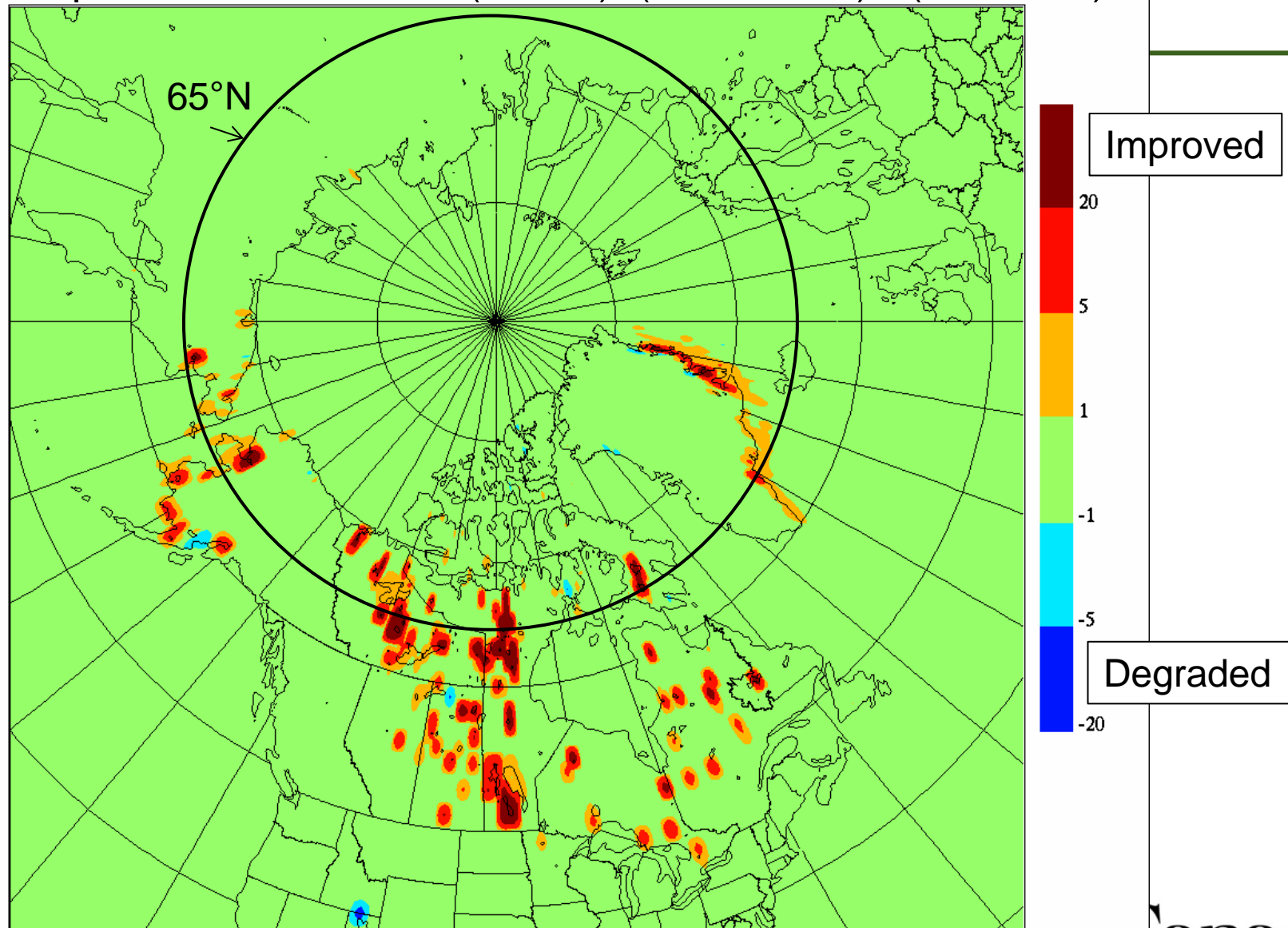
Proportion Correct Total (for GL): (RIPS 2.1.3) - (RIPS 2.1.2)



PCT\*X\* 0 mb Intervalle: [-20,-5,-1,1,5,20] \* 1.0e-02 0\* 0\*V19101010.100000\*[RIPS2.1.3-RIPS2.1.2]

# Impact of AVHRR (2012/09/01 – 2013/12/31)

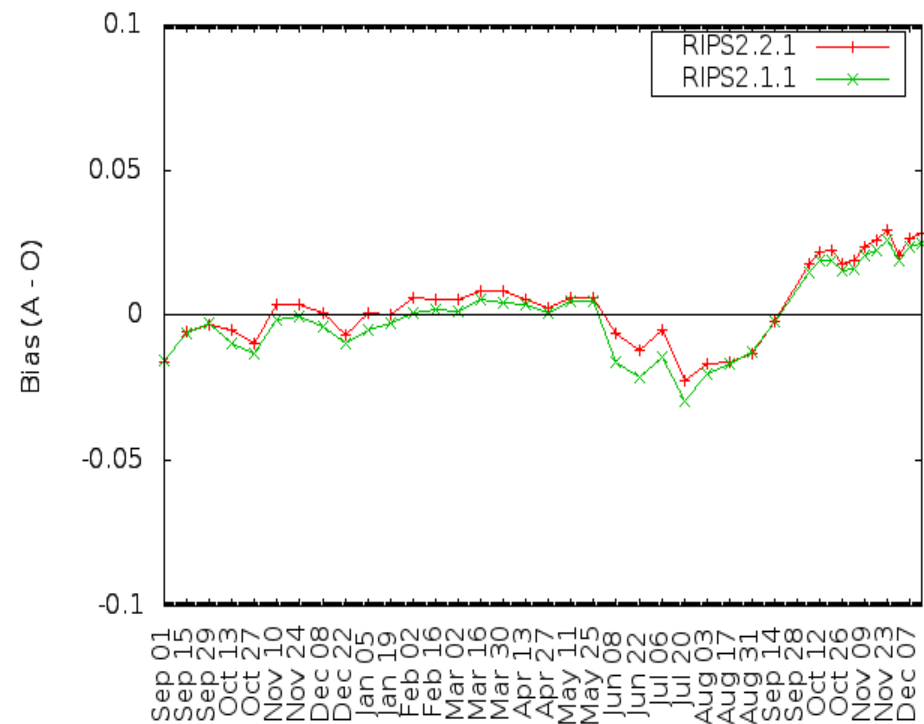
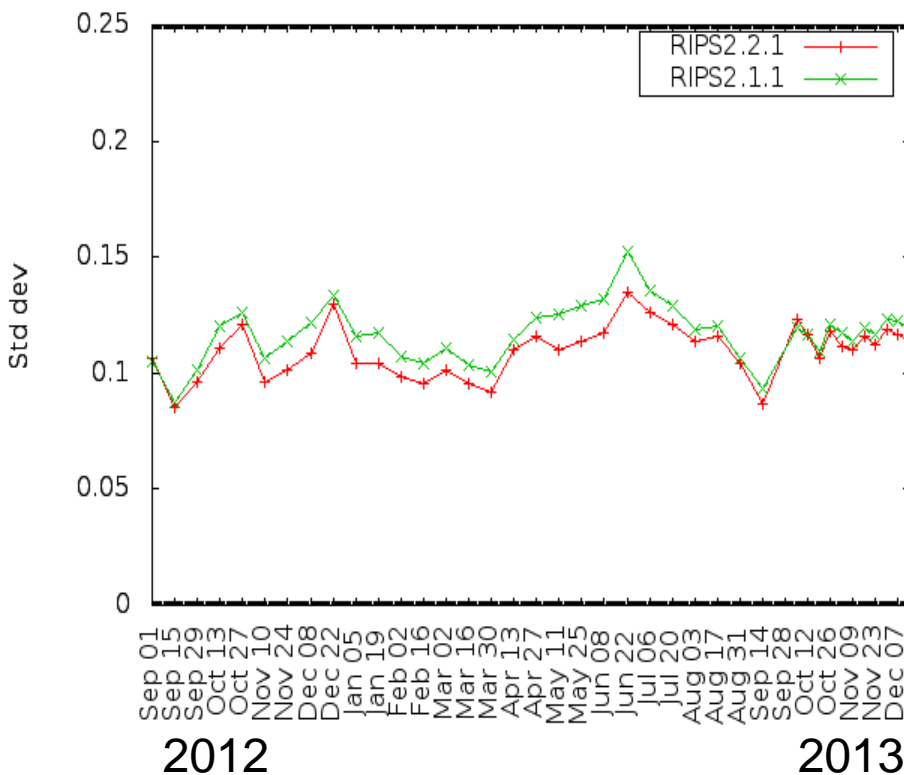
Proportion Correct Total (for GL): (RIPS 2.2.1) - (RIPS 2.2)



PCT\*X\* 0 mb Intervalle: [-20,-5,-1,1,5,20] \* 1.0e-02 0\* 0\*V19101010.100000\*[RIPS2.2.1-RIPS2.1.6]

# Ice concentration

- Bias and Stddev vs. NIC ice charts



# Summary

---

- Numerous types of sea ice concentration observations are assimilated, most have own particular limitation
- Assimilating higher resolution obs (small footprint) improves analyses near coasts, in narrow passages
- Future: Incorporate forecast model in the assimilation cycle AND assimilate sea ice thickness (e.g. SMOS)
- Work towards coupled assimilation to better use sea ice observations to correct ocean and atmosphere:
  - Few ocean and atmospheric obs near sea ice
  - Lack of ice-ocean-atmosphere consistency can significantly degrade subsequent forecasts



# Motivation to use Ice-Ocean Ensembles

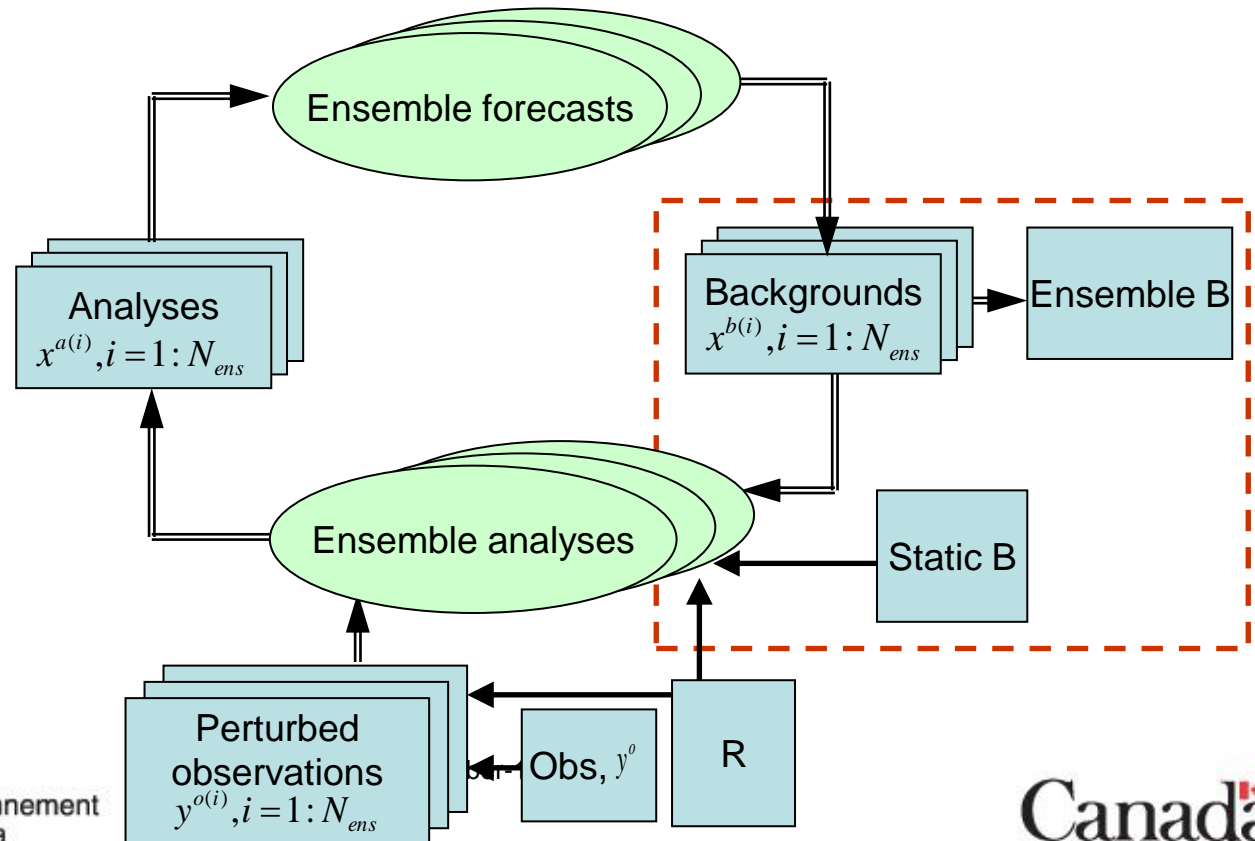
(Shlyaeva et al. 2016, QJRMS)

---

- Provide an estimate of the uncertainty in the analysis and background/forecast states
- Improve assimilation with coupled ensemble-based ice-ocean background-error covariances
- Sea ice covariances have strong heterogeneity and important cross-covariances with ocean and atmosphere
- CICE sea ice model and simple mixed layer ocean model

# First step: Ensemble of 3DVars (static B)

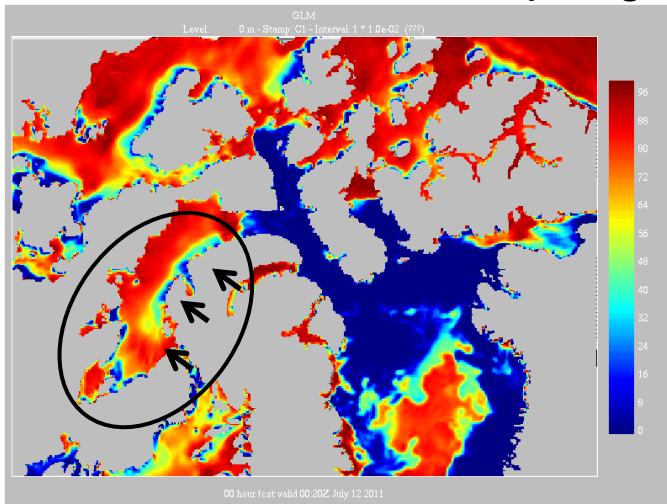
- Use ensemble atmospheric forecasts for forcing
- Perturb SST and mixed layer depth with NMC-like method
- Randomly perturb ocean current velocity



# Model biases prevent spread-skill consistency

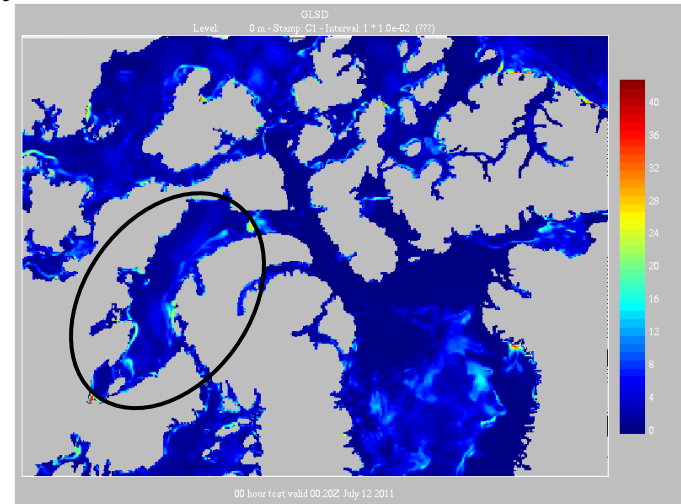
- Problem 1: model doesn't represent fast ice

Canadian Arctic Archipelago, July 2011



**Ensemble mean**

Strong winds incorrectly cause the ice to move in all ensemble members



**Ensemble spread**

Ensemble spread is very low, but mean error is very high

- Problem 2: model bias introduced through biases in atmospheric and ocean forcing (SST, currents, winds)

# Extreme sea ice model error parametrization (a temporary measure)

---

- 21 ensemble members:
  - 7 members: full CICE model
  - 7 members: CICE dynamics only
  - 7 members: CICE thermodynamics only
- Motivation
  - Dynamics: 1/3 of ensemble members don't move: increased ensemble spread in the 'ice shouldn't move, but it's moving' case
  - Thermodynamics: 1/3 of ensemble members don't melt/freeze: increased ensemble spread in the case of SST bias

# Ensemble of 3DVars experiment

---

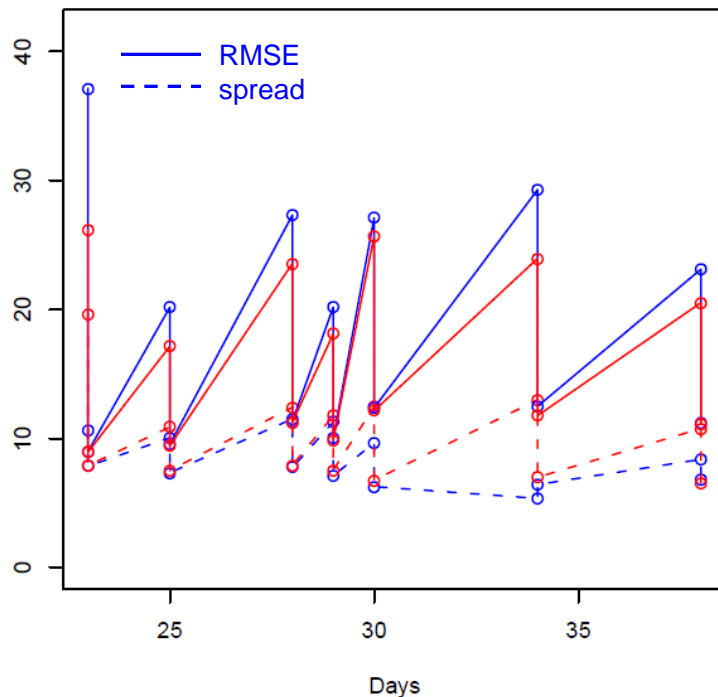
- Experiment June 8, 2011 – September 30, 2011
- Obs perturbed with state-dependent correlated errors (largest perturbations for intermediate concentrations)
- Verification based on CIS daily ice charts (available for different regions)

# Background ensemble spread and RMSE of ensemble mean time series

- Statistics averaged over Foxe Basin ice charts for ice points with 10%-90% ice concentration

## Full ensemble vs 7 members using full model

Ice concentration: 10-90



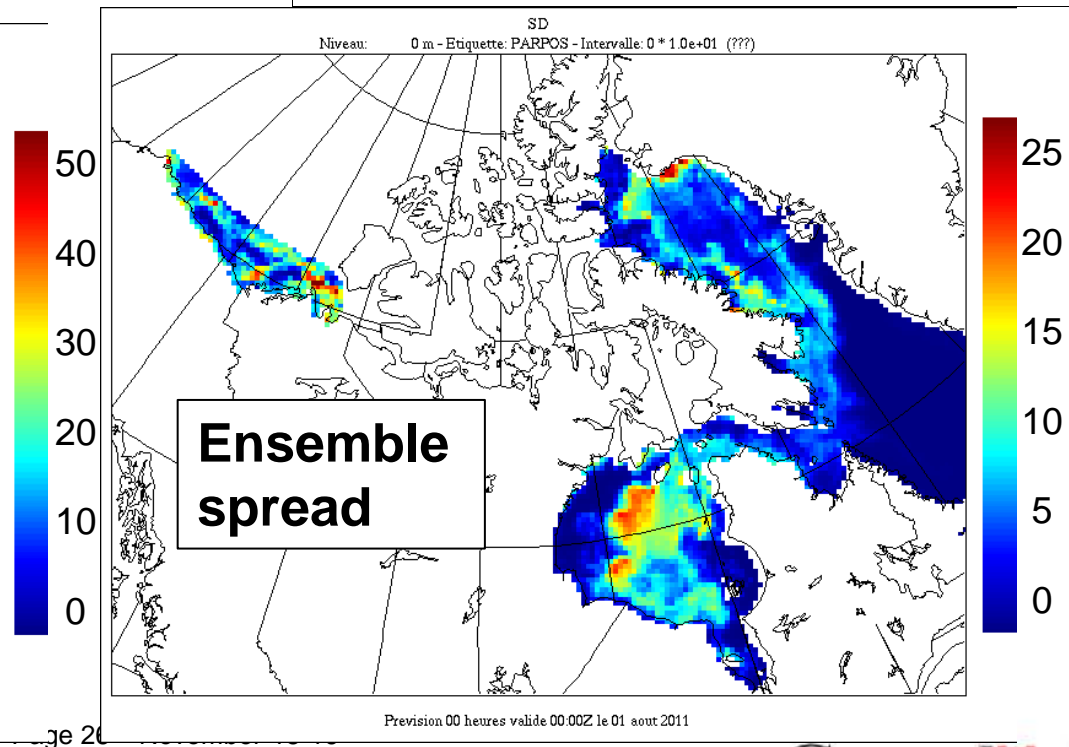
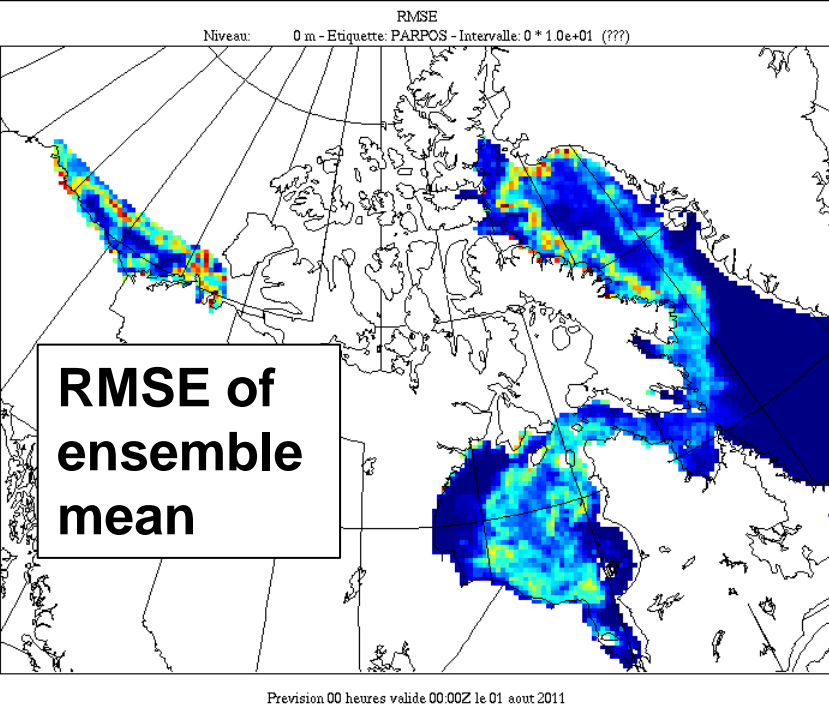
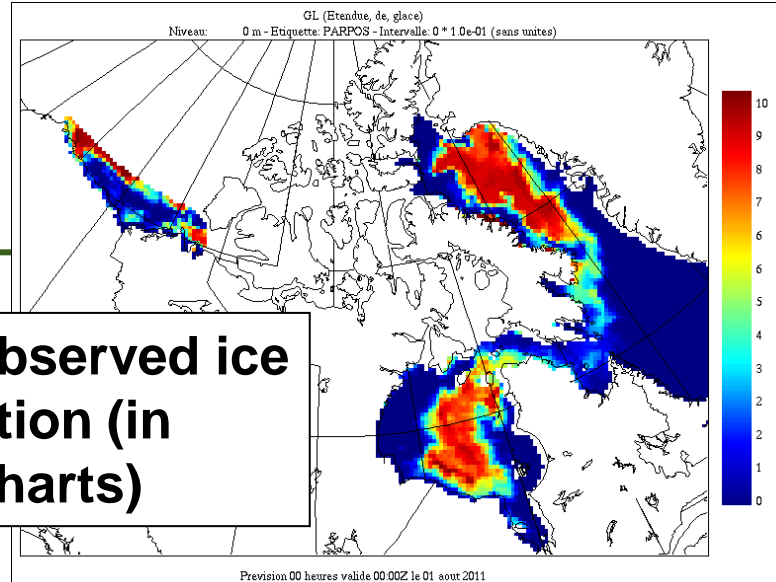
Extreme model error parametrization:

- Improves consistency between ensemble spread and error of ensemble mean (similar growth rates during forecast)

— November-18-16

# Time-averaged ensemble spread and RMSE maps

Average observed ice concentration (in daily ice charts)



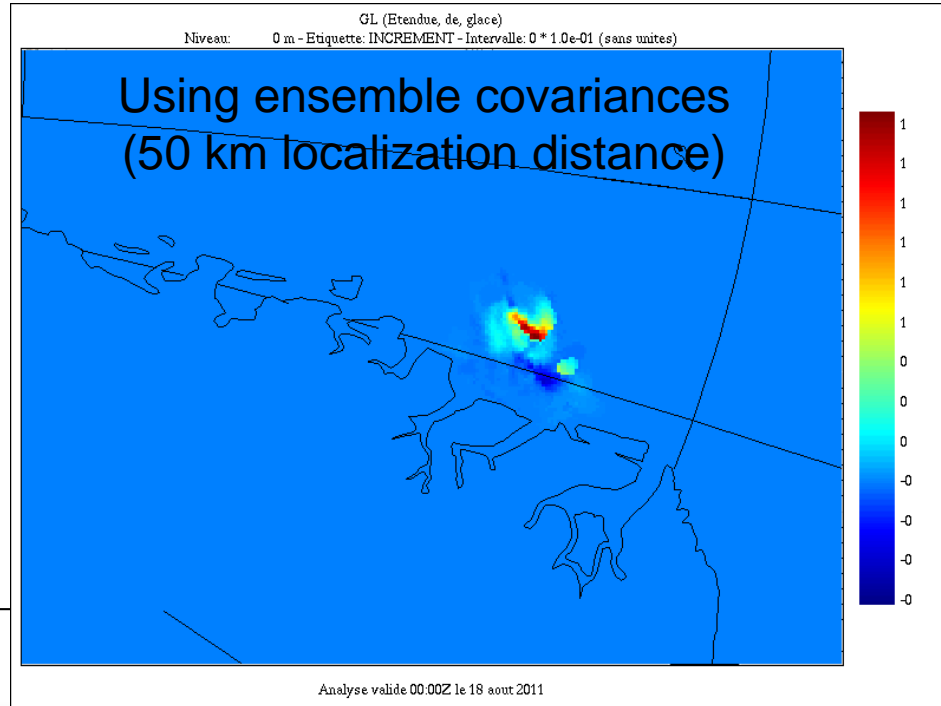
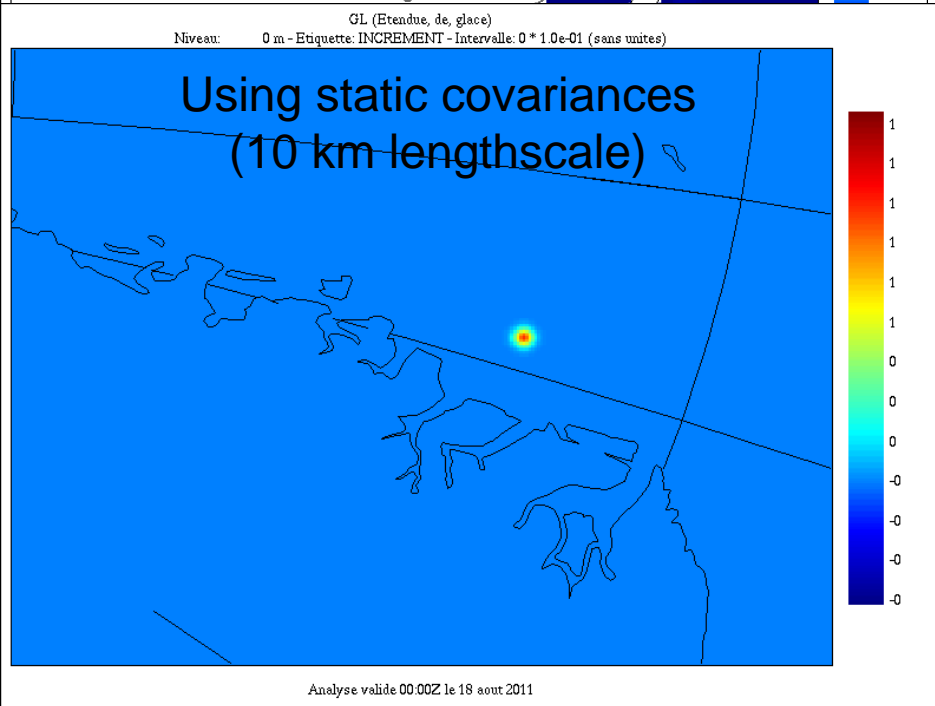
Environment  
Canada

Environnement  
Canada

Canada

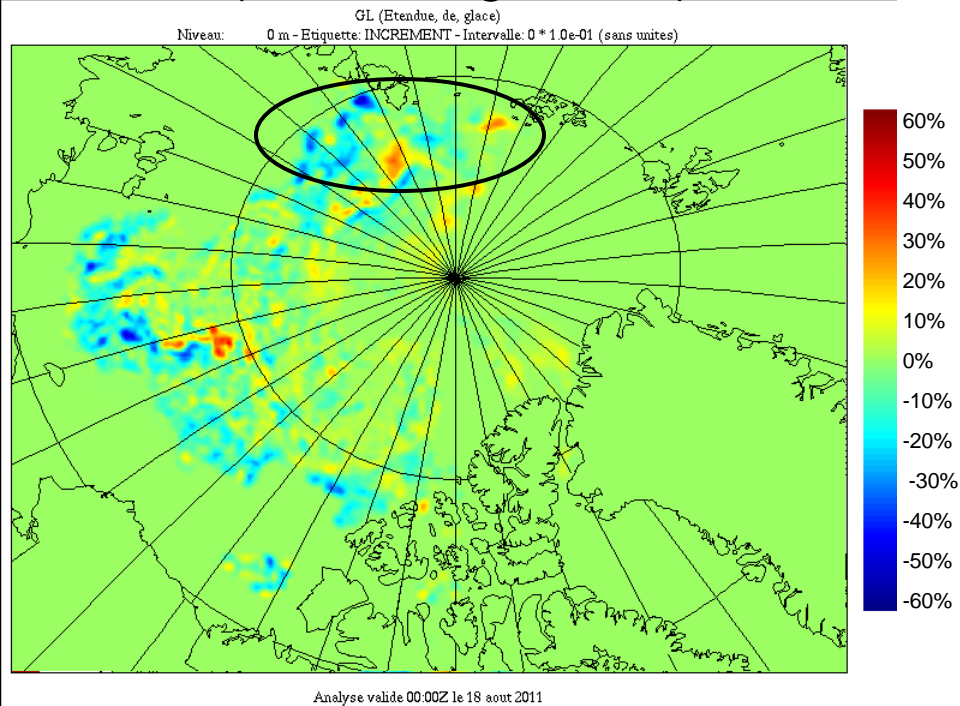
Observation=55%;  
Background=30%

Observation=55%;  
Background=30%

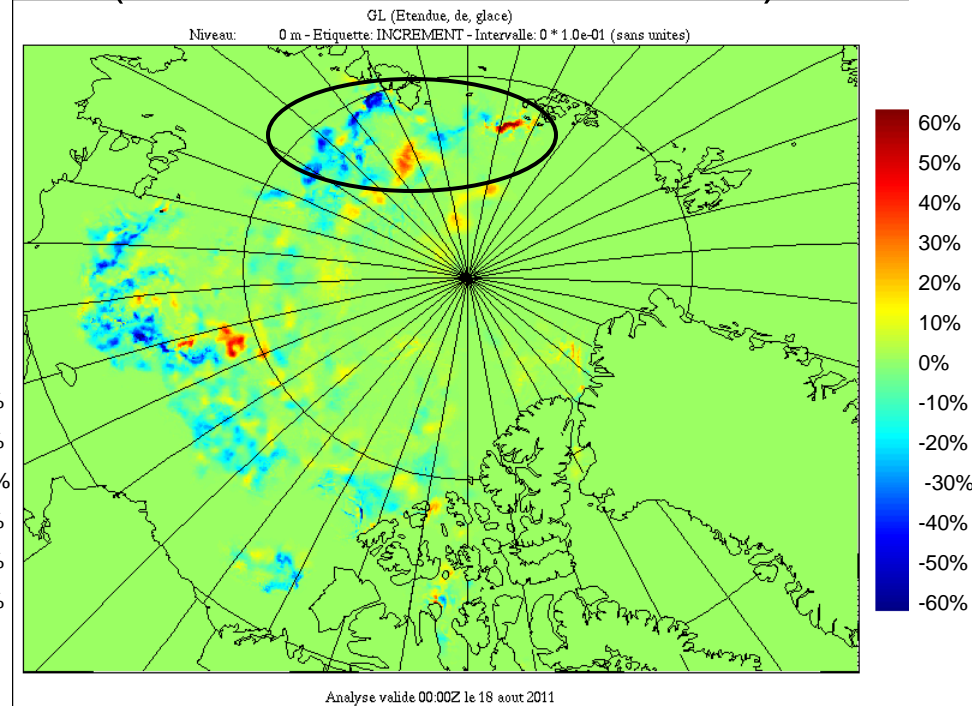


# EnVar ice concentration analysis increment example (July 18, 2011)

Using static covariances  
(10 km lengthscale)



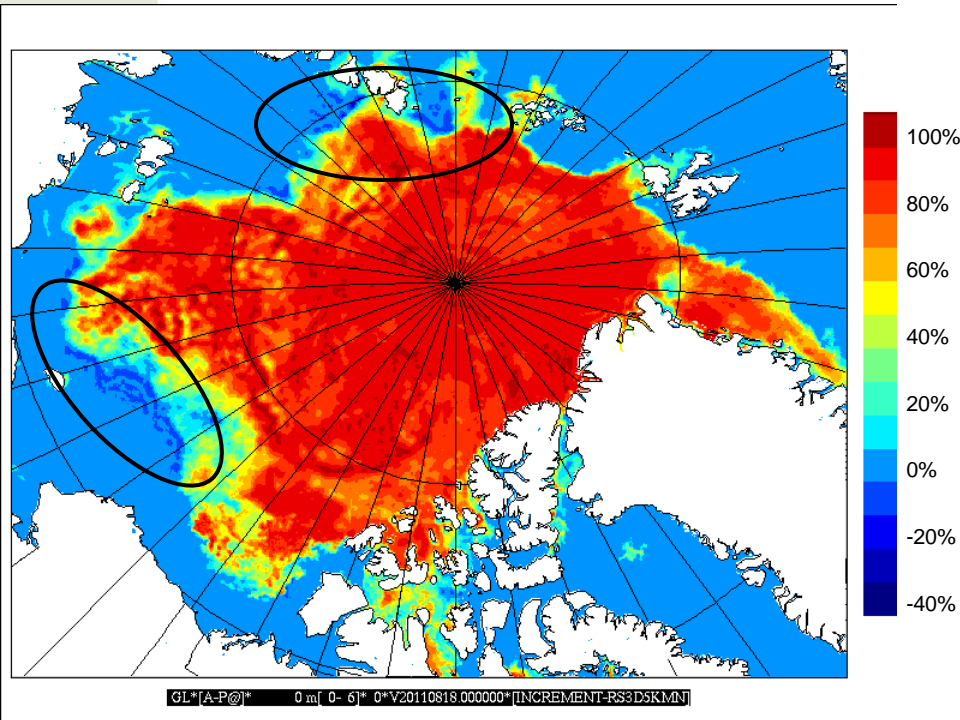
Using ensemble covariances  
(50 km localization distance)



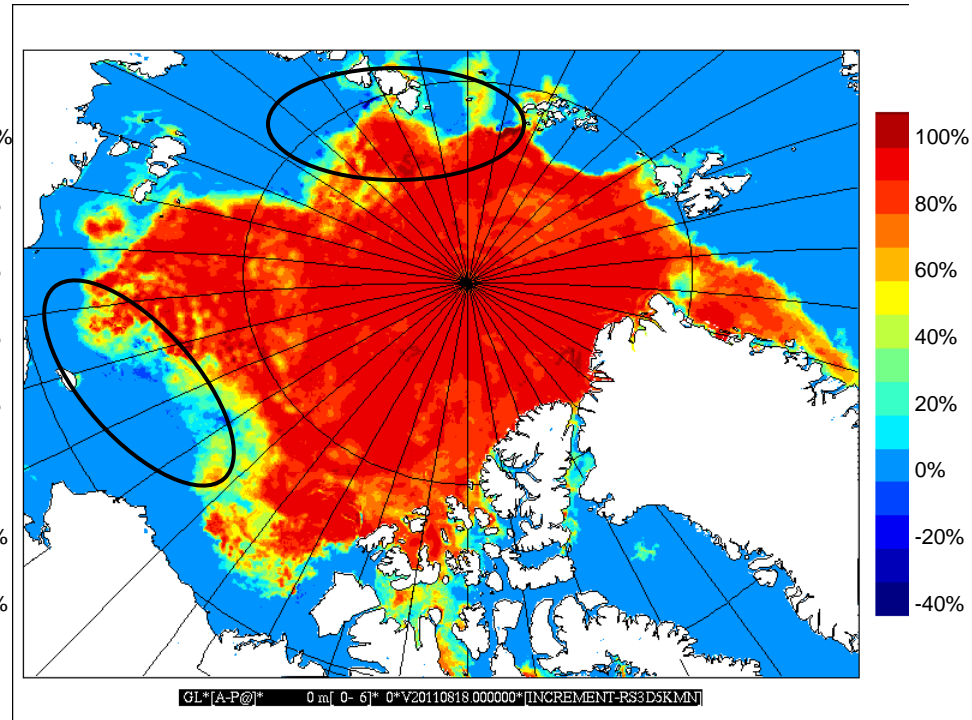
Sharper and stronger increments close to the ice edge in  
the ensemble covariances case

# EnVar ice concentration analysis example (July 18, 2011)

Using static covariances



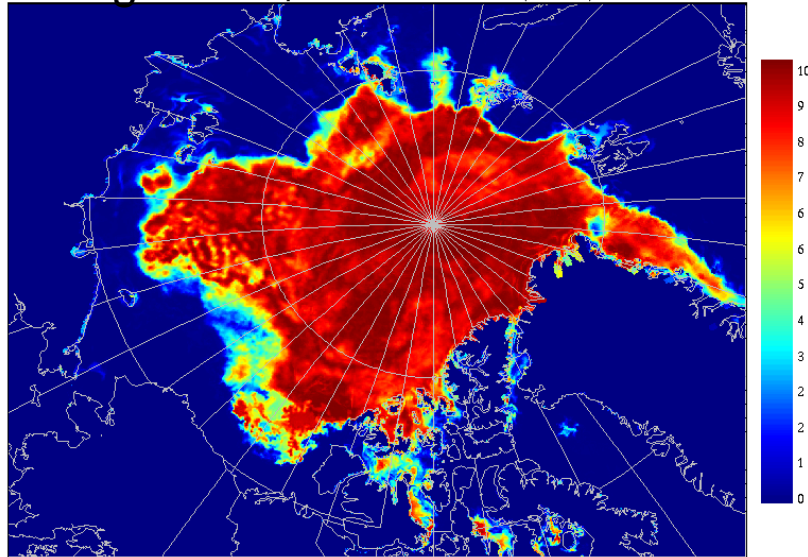
Using ensemble covariances



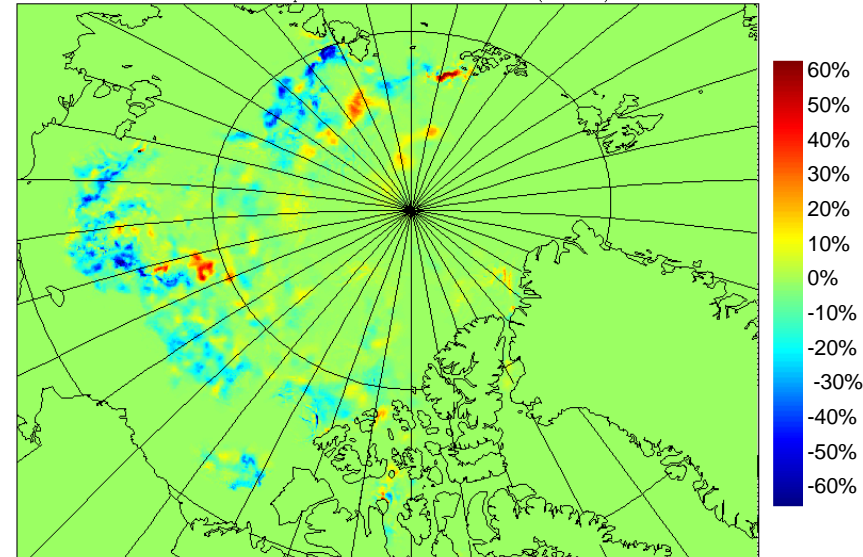
Less negative ice concentration artefacts in analysis in the ensemble covariances case

# EnVar analysis example: updating unobserved variables

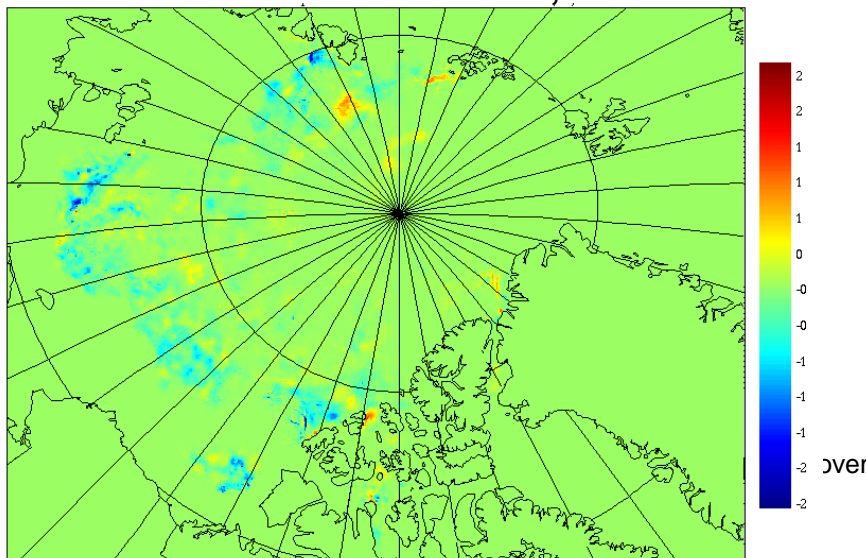
Background ice concentration field



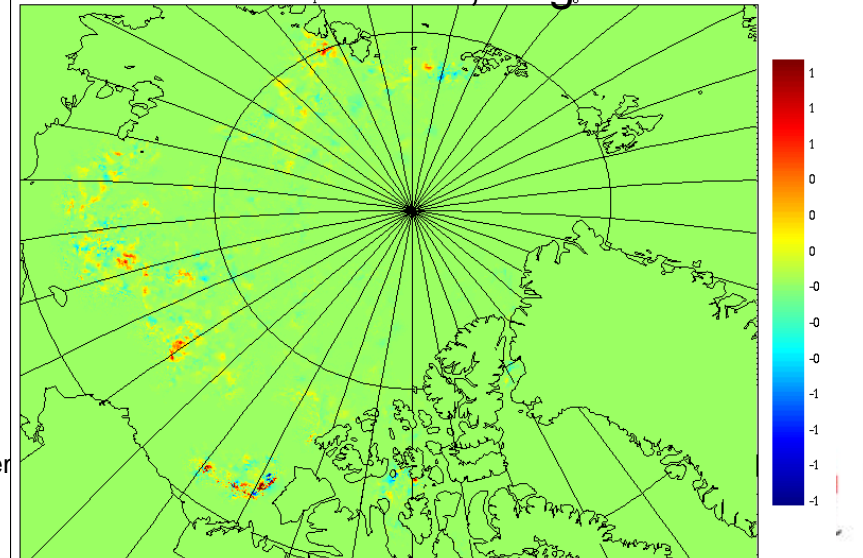
Ice concentration increment



Ice thickness increment, meters



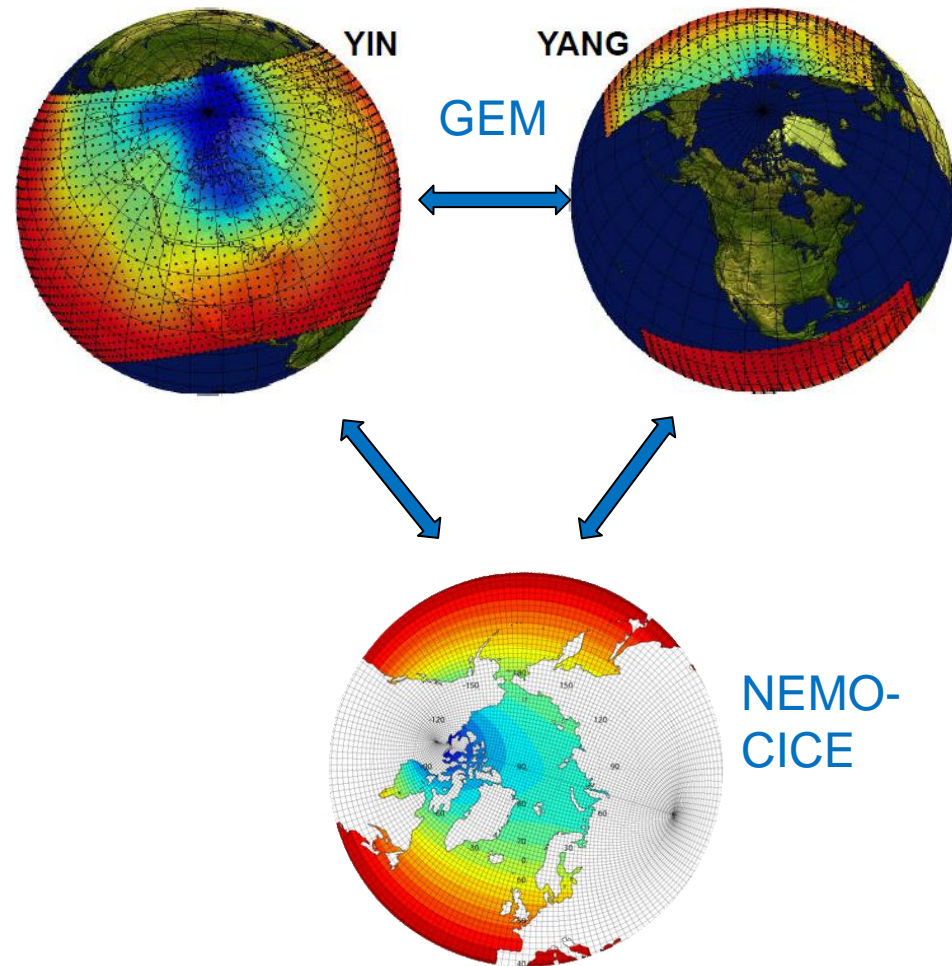
SST increment, degrees





# Global Coupled Medium-range Deterministic Forecasts

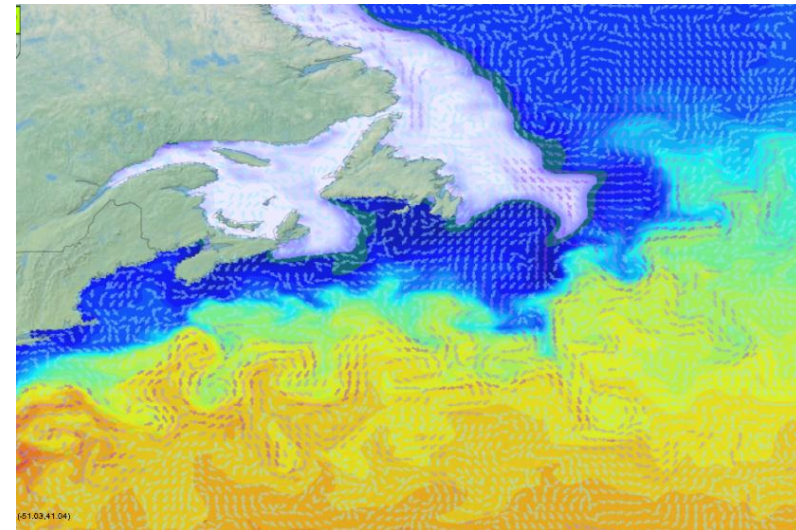
- Running since 8 July 2016
  - GDPS coupled to GIOPS
  - Fully-coupled A-I-O, 25km(A)-1/4deg(I-O),
  - 10 day fcst (2 per day)



# Global Ice-Ocean Prediction System

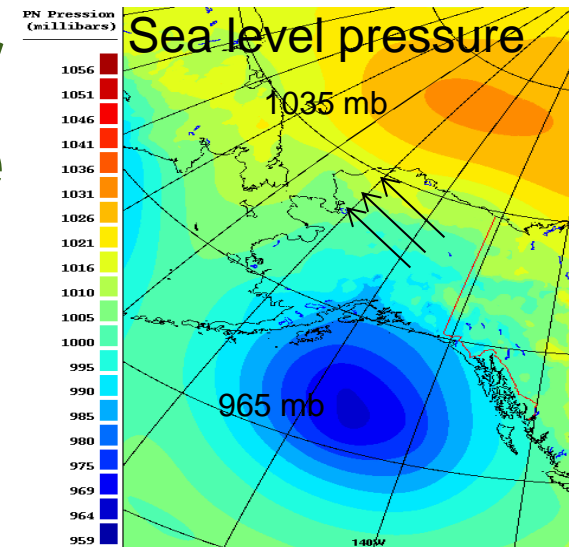
Dorina Surcel Colan, Yimin Liu, Matt Reszka, Francois Roy, Barbara Winter ...

- Produces daily ice-ocean analyses and 10 day forecasts
- Mercator Ocean Assimilation System (SAM2):
  - Sea surface temperature
  - Temperature and salinity profiles
  - Sea level anomaly from satellite altimeters
- 3DVar ice analysis
  - Similar to regional ice analysis
  - Combined with background state

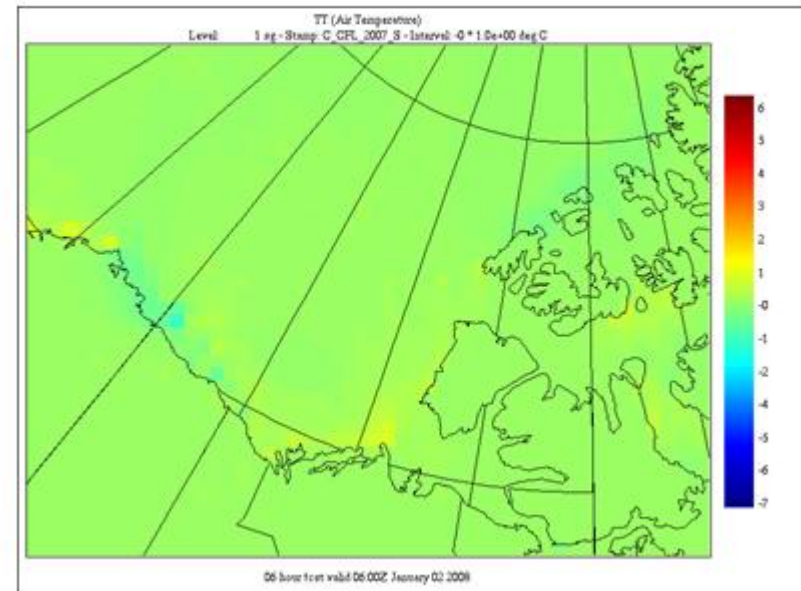
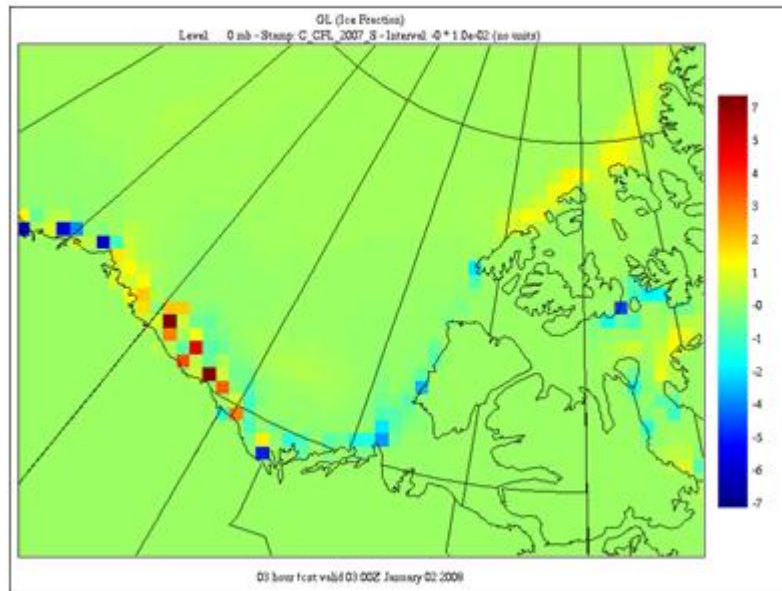


Smith et al., QJRMS, 2015

# Impact of a dynamic ice cover on coupled forecasts over the Beaufort Sea



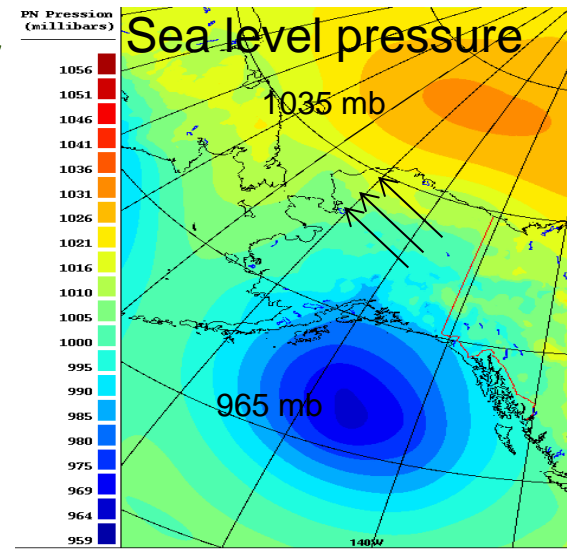
Difference in ice fraction (CPL-UNCPL)      Difference in 2m temperature



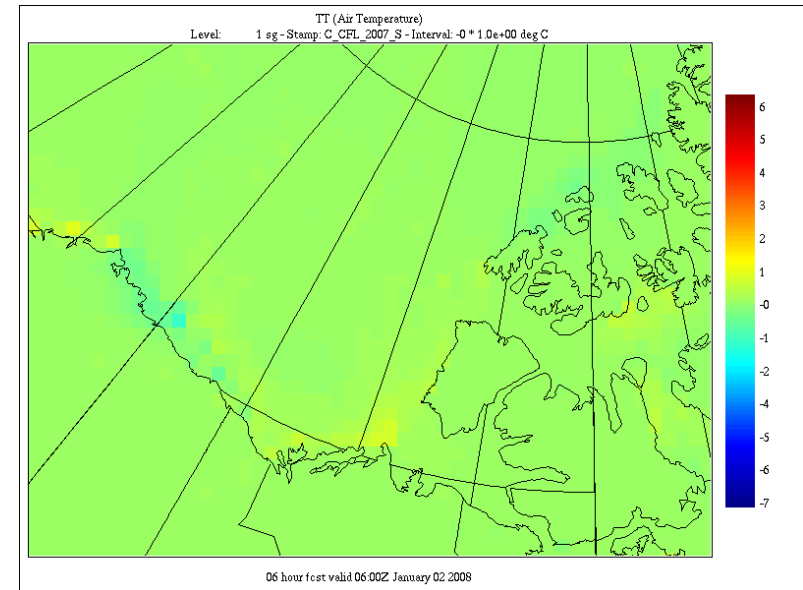
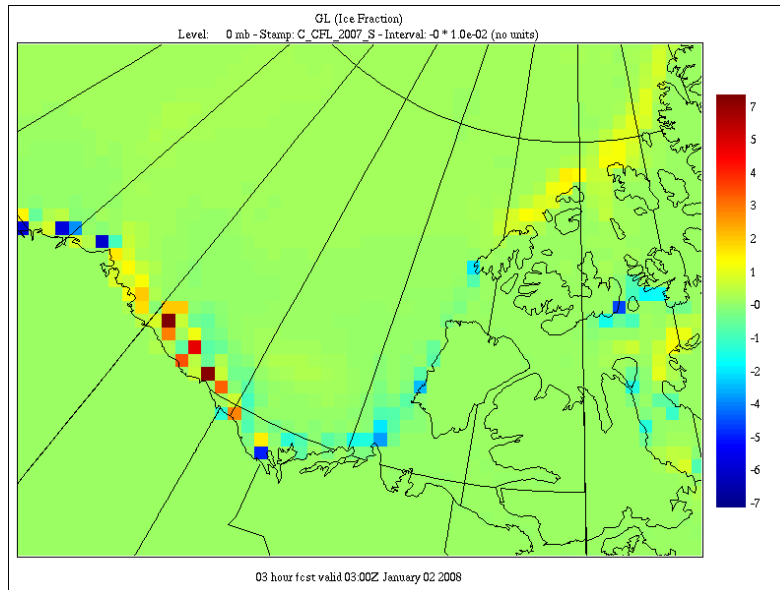
Forecast from global coupled model (GEM-NEMO-CICE; 33km-15km resolution)

# Impact of a dynamic ice cover on coupled forecasts over the Beaufort Sea

- Coastal polynya formation sensitive to:
  - Atmosphere-ice and ice-ocean stresses, ice thicknesses, landfast ice parameterization



Difference in ice fraction (CPL-UNCPL)      Difference in 2m temperature

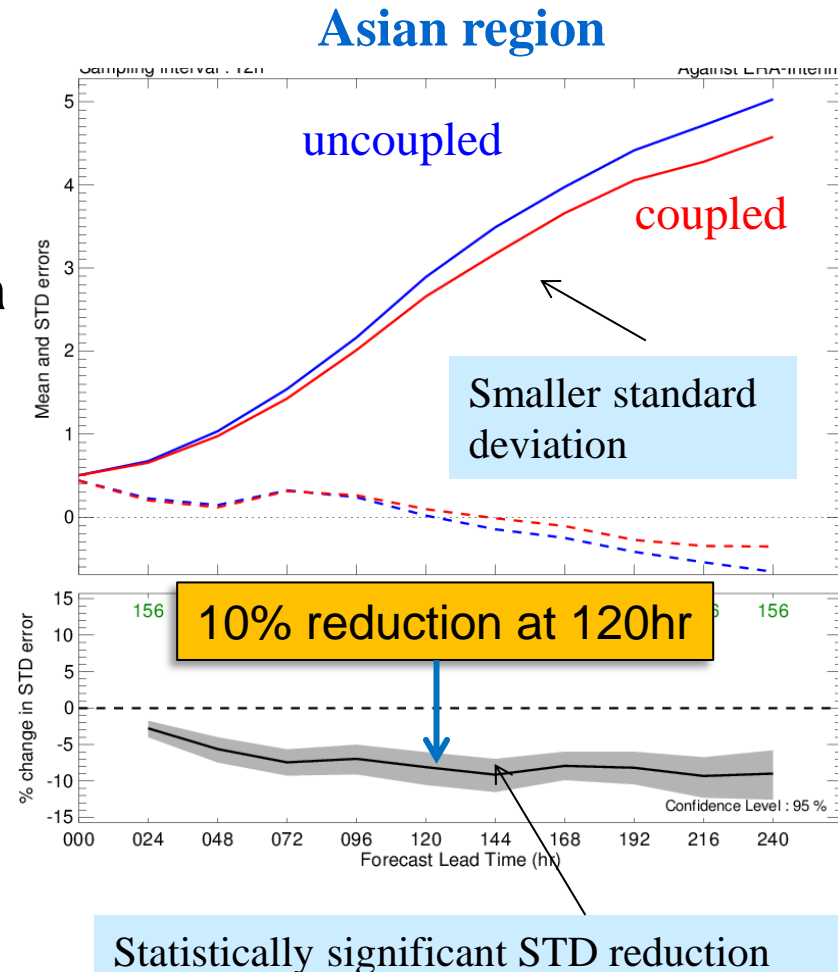


Forecast from global coupled model (GEM-NEMO-CICE; 33km-15km resolution)

# Coupled Global Forecast Trials

J-M Belanger, F Roy, ...

- Evaluation of summer trials
  - 15 Jun – 31 Aug, 2014
  - Verification against ERA-Interim for geopotential height at 850hPa over Asia

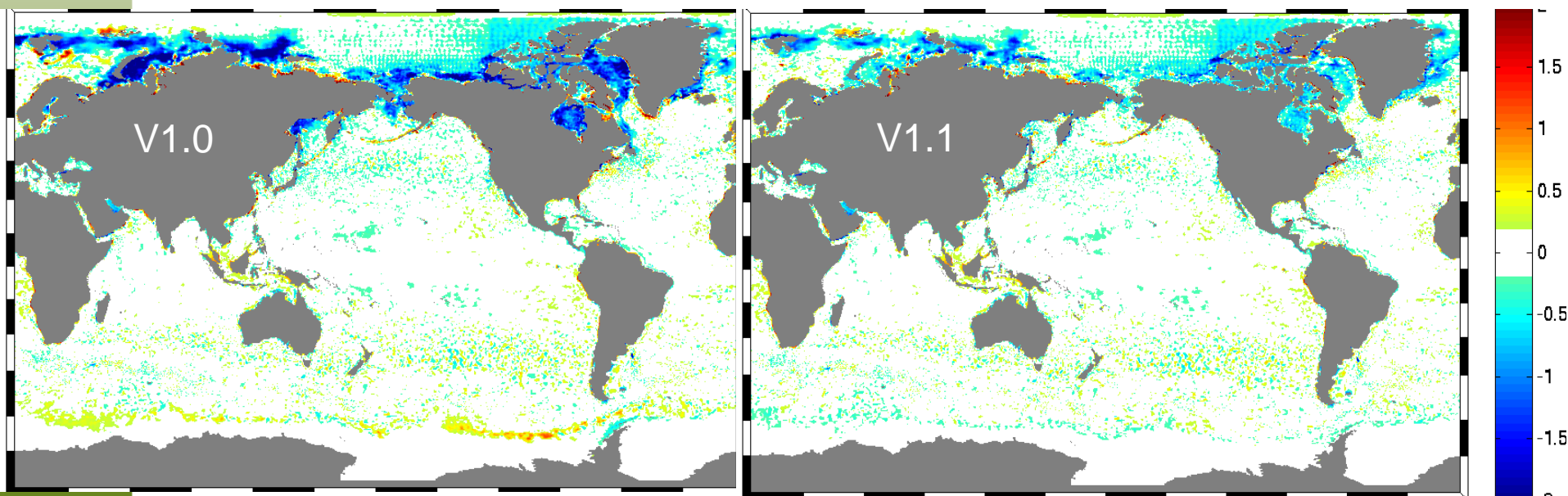


# Improvement in GLOPS v1.1 (June 2014)

## Under-ice SST assimilation:

- Set: SST obs=freezing point temperature, if IC>20%
- Improved under-ice SST assimilation substantially reduces differences with SST analysis

## Mean differences for NH summer 2011



Environment  
Canada



Fisheries and Oceans  
Canada



National  
Defence

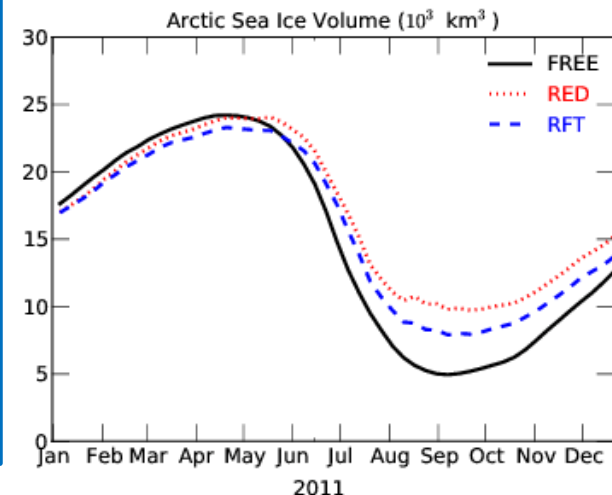
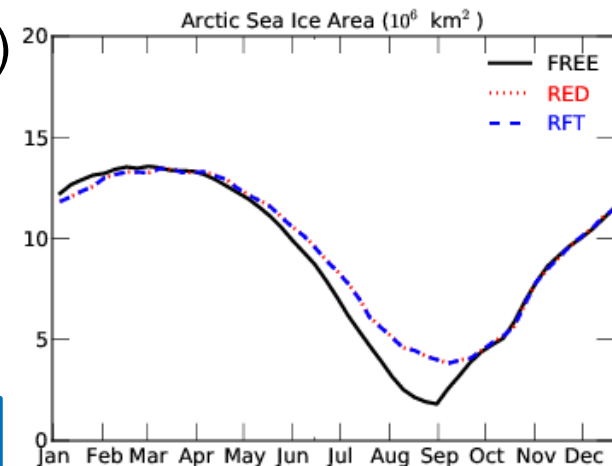
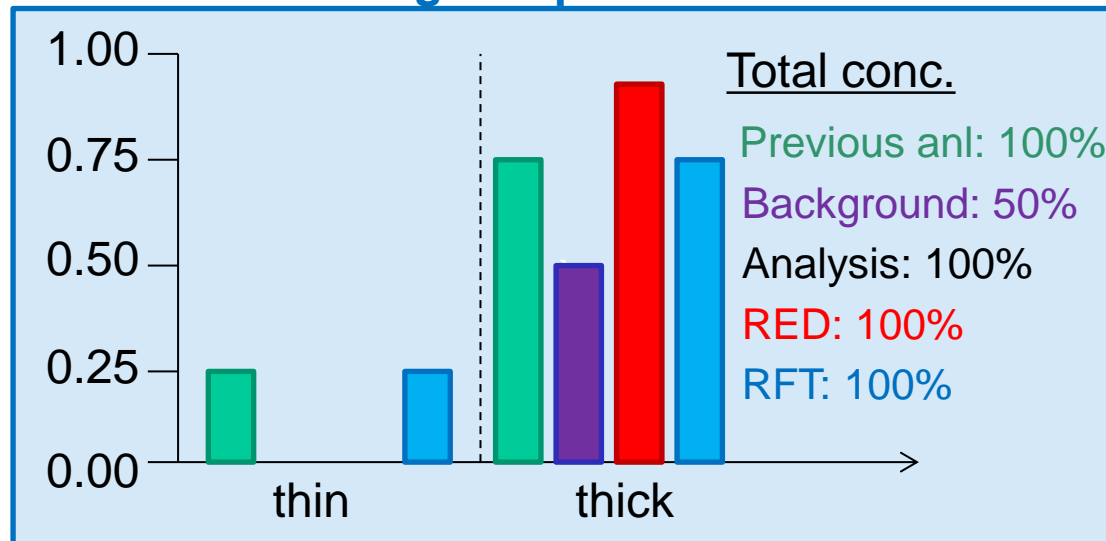
# Blending with 3DVAR ice analyses

## CICE requires Ice Thickness Distribution

Smith et al., QJRMS, 2015

- Method 1: Rescale thickness Distribution (**RED**)
- Method 2: Rescale Forecast Tendency (**RFT**)
- Use of RFT results in a smaller impact on total ice volume

### Thought experiment



Environment  
Canada



Fisheries and Oceans  
Canada



National  
Defence

# Conclusions

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- Ice concentration analysis for initializing coupled forecasts should ensure consistency between analyses:
  - SST adjusted when sea ice introduced by assimilation
  - Complete Ice Thickness Distribution adjusted to match ice concentration analysis
- Other simple approaches possible: e.g. correcting winds and currents by assimilating ice drift observations (Barth et al. 2015) – assumes these are primary error sources
- Work towards weakly coupled DA, then a simple way to start strongly coupled...
- ... in the context of high-resolution deterministic systems (EnVar) that rely on lower resolution ensembles (EnKF)

# Sea Ice DA and Prediction

## Some interesting aspects

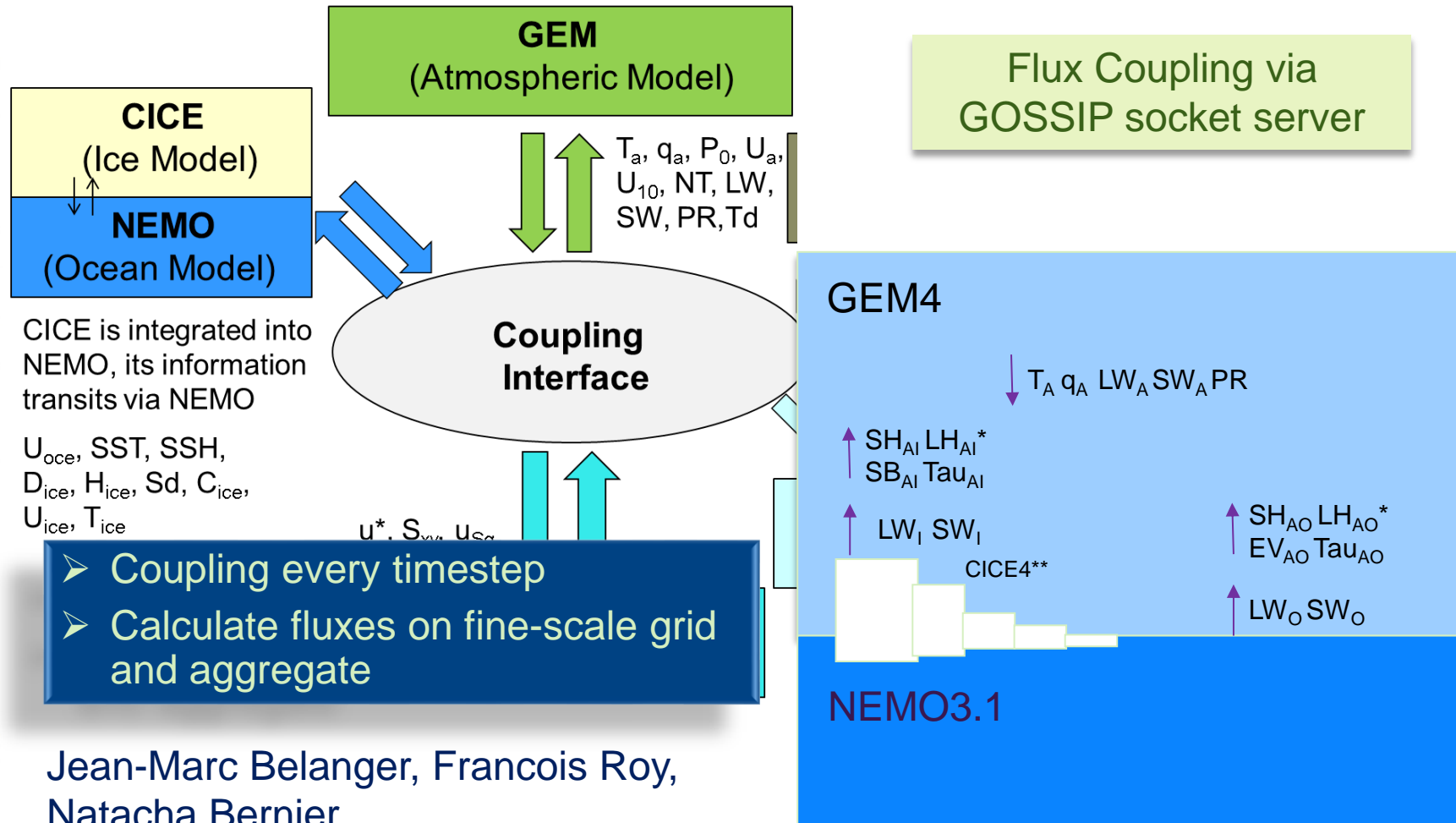
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- Ice concentration well observed by many types of sensors, though with limitations in summer, near land, thin ice, from high winds
- Ice drift well observed, from sequential satellite images, but challenge knowing what to correct: winds, currents, drag coeffs, ice strength, etc.
- Thickness less well observed, but important for atm-ocean interaction and longer term prediction
- Ocean (SST, currents) and atmosphere (winds, air temperature) errors can dominate ice forecast errors, both poorly observed near ice
- Simple “*coupled*” approaches can help a lot:
  - Ice obs used to impose consistent SST, correct winds/currents
  - Air temperature and winds used for ice obs QC
- Linear approaches for strong coupling may not always work, e.g. near freezing point, creation of ridges when ice fails in compression



# Coupling Method

Same method used by Gulf of St. Lawrence, Great Lakes, GDPS and Seasonal Systems



# Ice drift from sequential images

DECEMBER 1997

1997!!!

BUEHNER ET AL.

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## An Inverse Method for Tracking Ice Motion in the Marginal Ice Zone Using Sequential Satellite Images

MARK BUEHNER AND KEITH R. THOMPSON

*Oceanography Department, Dalhousie University, Halifax, Nova Scotia, Canada*

INGRID PETERSON

*Bedford Institute of Oceanography, Dartmouth, Nova Scotia, Canada*

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