# Intra-urban nocturnal cooling rates: model development and evaluation

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## 1. Introduction

The urban heat island (UHI) and the intra-urban heat island (IUHI) are mainly nocturnal phenomena that develop through differences in cooling between urban and rural sites or between intra-urban sites of various types (Eliasson 1994; Runnalls and Oke 2000). These differences are a result of site characteristics such as building density, surface material, amount of vegetation and presence of anthropogenic heat (Oke 1987). It has been observed that nocturnal cooling progresses in two distinct phases (phase 1: site-dependent cooling around sunset, and phase 2: site-independent cooling from about one or two hours after sunset until sunrise) (Oke and Maxwell 1975; Upmanis et al. 1998; Holmer et al. 2007; Holmer et al. 2013). In this study, the temporal development of nocturnal cooling was investigated especially focusing on the two phases using observational data from Gothenburg, Sweden (Onomura et al. 2015). Based on the data analysis, a NOcturnal Cooling RAte Model (NOCRAM) was analytically developed to simulate nocturnal air temperature at urban sites using standard meteorological variables and sky view factor of the site. The model is evaluated with other datasets in the city and further applied to one built-up site with complex urban geometry in London UK.

# 2. Model development

## 2.1 Model structure

A conceptual profile of nocturnal cooling rates typical for open sites under ideal (clear and calm) conditions is shown in figure 1. The temporal development of cooling rates is partitioned into three parts, phase 1A, 1B and 2, which are drawn by red, blue and green thick lines. As a basic profile, the cooling rate curve is firstly created in the model. Initial cooling rate ( $CR_1$ ) of phase 1A is calculated using observed air temperature. Phase 1A and 1B are modelled with cosine functions using  $CR_1$ , most intensive cooling rate ( $CR_{peak}$ ) in phase 1 and initial cooling rate ( $CR_2$ ) of phase 2. The angles applied to the two phases range from 0 to  $\pi$  and  $\pi$  to  $2\pi$ , respectively. Phase 2 is modelled with a linear function using  $CR_2$  under the assumption that cooling ends at sunrise. The profile is modified every time step by estimating the impact of the temporal changes in wind speed ( $\Delta CR_w(t)$ ) on cooling rates (grey thin line in Figure 1). Cooling rates (CR) in the three phases are calculated as follows:

Phase 1A: 
$$CR = (CR_1 - CR_{peak}) \cdot (\cos(f(t-t_1)) - 1)/2 + CR_1 + \Delta CR_w(t) \text{ when } t_1 \le t < t_{peak}$$
(1)  
Phase 1B:  $CR = (CR_2 - CR_{peak}) \cdot (\cos(f(t-t_{peak})) - 1)/2 + CR_{peak} + \Delta CR_w(t) \text{ when } t_{peak} \le t < t_2$ (2)  
Phase 2:  $CR = (t_{end} - t)/(t_{end} - t_2) \cdot CR_2 + \Delta CR_w(t) \text{ when } t_2 \le t < t_{end}$ (3)

where function *f* converts time into radian,  $t_1$  and  $t_2$  are the onset of phase 1A and 2,  $t_{peak}$  is the timing of the most intensive cooling and  $t_{end}$  is the end of phase 2.  $CR_{peak}$ ,  $CR_2$  and  $\Delta CR_w(t)$  as well as  $t_1$ ,  $t_2$ ,  $t_{peak}$  and  $t_{end}$  have to be determined to simulate a nocturnal cooling rate profile. The procedure for determining these variables is based on the data analysis in Onomura et al. (2015) and summarized in 2.2 – 2.5. Note that the current version of the model does not take into account the impacts of anthropogenic heat, latent heat (e.g. from vegetation and precipitation) and synoptic weather changes (e.g. cold fronts) on cooling rates.



Fig. 1 A conceptual profile of cooling rates for open sites under ideal (clear and calm) conditions. The start and end of the three phases are indicated by  $t_1$ ,  $t_{peak}$ ,  $t_2$  and  $t_{end}$ . Initial cooling rate of phase 1A (CR<sub>1</sub>), most intensive cooling rate (CR<sub>peak</sub>) and initial cooling rate of phase 2 (CR<sub>2</sub>) are shown. A grey line around the profile illustrates how weather changes such as wind and cloud cover/type affect cooling rates during the night.

## 2.2 Time-related parameters

The onset of phase 1A and 2 was found to depend on the decay of daytime turbulence. As a measure of the strength of turbulence, relative wind speed change (the ratio of wind speed change per hour to hourly average wind speed) was introduced.  $t_1$  is defined as the time between three and a half hours before sunset to sunset when relative wind speed change falls below -0.2 s<sup>-1</sup>, and is negative in the previous time step. Similarly,  $t_2$  is determined as one hour after the time between one hour before sunset to four hours after sunset when relative wind speed change falls below -0.5 s<sup>-1</sup>. If  $t_2$  is earlier than  $t_1$ ,  $t_2$  is set to be the next time step of  $t_1$ . Default values taken from figure 7 in Onomura et al. (2015) are prepared for the case when  $t_1$  and  $t_2$  cannot be determined because relative wind speed change does not meet the conditions.  $t_{peak}$  is simply calculated as the middle time between  $t_1$  and  $t_2$ .  $t_{end}$  is set to sunrise.

#### 2.3 Determination of most intensive cooling rate (CR<sub>peak</sub>) in phase1

 $CR_{peak}$  is estimated by first considering the impact of clearness index of the sky (*CI*), average wind speed ±3 h around sunset (*U*) and maximum daily air temperature ( $T_{max}$ ), and followed by the impact of *SVF*. *CI* is calculated as the ratio of the measured solar irradiance to the clear-sky irradiance based on the Crawford and Duchon (1999) method, modified by Lindberg et al. (2008), and averaged between noon and sunset for three hours after the sunrise on the next day. The magnitude of  $CR_{peak}$  was found to increase with higher *CI* and decreases with *U*. The influence of *CI* and *U* is handled by the introduction of the Cooling Rate Impact Factor (*CRIF*). As shown in figure 2a, this index takes the value of 1 under clear sky (*CI* = 1, named *CI<sub>max</sub>*) and calm (*U* = 0) condition and decreases as *CI* decreases and *U* increases. *CRIF* is 0 when *CI* is below a critical value (*CI<sub>min</sub>* set to 0.4) and/or *U* exceeds a threshold value ( $U_{crit}$  set to 4 m s<sup>-1</sup>). Thereby, *CRIF* is expressed as:

$$CRIF = (1 - U/U_{crit}) \cdot (CI - CI_{min})/(CI_{max} - CI_{min}) \quad (4)$$

Further, the magnitude of  $CR_{peak}$  was observed to increase with higher  $T_{max}$  under a certain condition of *CI* and *U*, thus given *CRIF*. The linear fit for clear and calm condition was derived from the data analysis in Onomura et al. (2015) and assigned *CRIF* = 0.72 calculated using the average values of *CI* and *U* (Fig. 2b). Two assumptions were then introduced. Firstly, the slope and intercept of the linear relationship between  $CR_{peak}$  and  $T_{max}$  scales with *CRIF*. Secondly, at *CRIF* = 0 (overcast and windy condition) *CR<sub>peak</sub>* does not change with  $T_{max}$  and is equal to the minimum value of  $CR_{peak}$  set to - 0.2 K h<sup>-1</sup>. Thus,  $CR_{peak}$  can be expressed as a function of  $T_{max}$  and *CRIF*:

$$CR_{peak} = -0.2 + a \cdot (T_{max} + 13)$$
 (5)

$$a = -0.083 \cdot CRIF$$
 (6)

The relationship is shown in figure 2b for *CRIF* from 0 to 1.

The influence of *SVF* on  $CR_{peak}$  is handled by introducing the Sky View Impact Factor (*SVIF*), which is the ratio of  $CR_{peak}$  at a built-up site to an open site. The value of *SVIF* decreases with higher *SVF* under a certain condition of *CI* and *U*. In the same way as figure 2b, the trend line for clear and calm condition was derived from the data analysis and assigned the average CRIF = 0.72. Under cloudy condition (average CRIF = 0.20) *SVF* was found to have little impact on  $CR_{peak}$ , so *SVIF* was set to 0.99, which was treated as a threshold value below which *SVIF* does not change with *SVF*. Assuming that the relationship between *SVIF* and *SVF* scales linearly with *CRIF*, *SVIF* can be then expressed as:

$$SVIF = CR_{peak, built-up}/CR_{peak, open} = 0.99 + b \cdot (1 - SVF)$$
(7)

$$b = -0.88 \cdot (CRIF - 0.2)/(1 - 0.2) \quad (8)$$

which is shown graphically in figure 2c.

Using *SVIF*, *CR*<sub>peak</sub> is finally expressed as:

$$CR_{peak} = [-0.2 - 0.083 \cdot CRIF \cdot (T_{max} + 13)] \cdot SVIF$$
 (9)

## 2.4 Determination of initial cooling rate in phase 2 (CR<sub>2</sub>)

The magnitude of  $CR_2$  was found to increase with lower hourly average wind speed at  $t_2$  ( $U_2$ ) under a certain condition of CI. The line trend under clear condition is derived from the data analysis and assigned the average CI = 0.96. It was also found that under cloudy condition (average CI = 0.57)  $CR_2$  changes little with  $U_2$ . The line of CI = 0.57 is used to determine the minimum magnitude of  $CR_2$ . Assuming that the relationship between  $CR_2$  and  $U_2$  scales linearly with CI and that when  $U_2 \ge 2 \text{ m s}^{-1}$ ,  $CR_2$  follows the line of CI = 0.57,  $CR_2$  is expressed as:

$$CR_{2} = CR_{2\_min} + (CR_{2\_clear} - CR_{2\_min}) \cdot (CI - 0.57) / (1 - 0.57) \quad (10)$$

$$CR_{2\_clear} = -1.6 + 0.67 \cdot U_{2} \quad (11)$$

$$CR_{2\_min} = -0.34 + 0.03 \cdot U_{2} \quad (12)$$

The relationship is shown in figure 2d for Cl from 0.57 to 1.

#### 2.5 Modelling the impact of wind change

Cooling rates are affected by changes in weather, particularly wind and cloudiness. The effects of wind speed changes are considered in the model but cloudiness changes are not since cloud data are often not available during night. Every time step, the wind impact is estimated as a function of the third root of the difference between wind speed at the time step and average wind speed of the phase ( $\bar{U}_{phase1A}$ ,  $\bar{U}_{phase1B}$  and  $\bar{U}_{phase2}$ ). The use of the third root was determined by the sensitivity test, which showed that the impact of small wind speed changes on cooling rates is reasonably estimated using the third root rather than other formulas. The transition of atmospheric stability from unstable to stable is found to occur around tpeak. It is suggested that higher wind speed brings colder air from above the canopy layer and enhances cooling rates in phase 1A, whereas it brings warmer air from above and diminishes cooling rates in phase 1B and 2. In addition, weak wind promotes mixing the air down to the ground (Acevedo and Fitzjarrald 2003). Therefore, higher wind speed than the average wind speed increases the magnitude of cooling rates during phase 1A, whereas it decreases during phase 1B and 2. The case of lower wind speed than the average wind speed is the other way around. Furthermore, the magnitude of the wind impact on cooling rates should decrease under cloudier condition due to weaker stratification of the atmosphere. Therefore, the Wind Impact Factor (WIF) is introduced to adjust the impact depending on Cl. WIF is set to 0.35, 0.15 and 0.1 under clear (Cl  $\geq$  0.9), semi-cloudy (0.75  $\leq$  Cl < 0.9) and cloudy (CI < 0.75) conditions, respectively. Finally, the variation of cooling rate caused by the wind speed change at time  $t (\Delta CR_w(t))$  is estimated as

$$\Delta CR_{w}(t) = \begin{cases} -WIF \cdot (U(t) - \bar{U}_{phaseX})^{1/3}, \text{ if } X = 1A \\ +WIF \cdot (U(t) - \bar{U}_{phaseX})^{1/3}, \text{ if } X = 1B \text{ or } 2 \end{cases}$$
(13)



Fig. 2 Determination of most intensive cooling rates ( $CR_{peak}$ ) using (a) average wind speed around sunset (U) and critical wind speed ( $U_{crit}$  set to 4 m s<sup>-1</sup>), (b) maximum daily air temperature ( $T_{max}$ ) and (c) sky view factor (SVF), and (d) determination of initial cooling rates ( $CR_2$ ) in relation to hourly averaged wind speed at the start of phase 2 ( $t_2$ ).

# 3. Model evaluation

#### 3.1 Study site and data

An open site (SVF = 0.92) and a built-up site (SVF = 0.40) in Gothenburg Sweden and one built-up site (SVF = 0.46) in London UK were chosen for model evaluation. The former two sites are located in the city centre with most buildings having three to six stories and being almost regularly arranged. The site in London is located at the Barbican Estate, which has very complex urban settings and a large variation in building height. The sites mentioned were not used for the model parameterisation. Meteorological input data are taken from nearby reference meteorological stations (LUMA ; Onomura et al. 2015). The SVF representing each site was taken to be the average SVF within a 25 m radius, calculated using digital surface models of buildings and vegetation as described in Lindberg and Grimmond (2010) and using the ArcMap 10.1 software.

#### 3.2 Model performance

The mean profiles of modelled and observed cooling rates and air temperature for the three sites for the three *CI* classes are shown in figure 3. The model simulates cooling rates well for all the sites. From the profiles of the open site (first column), it is clearly seen that the model successfully estimates the magnitude of cooling rates decreasing with lower *CI*. Also the two phases in cooling are modelled as less distinct under cloudier condition. In comparison with a built-up site in Gothenburg (second column), the model is successful to reduce the magnitude of cooling rates in phase 1 with lower *SVF* under all the cloud conditions. For the built-up site in London, cooling rates are modelled with the performance as good as Gothenburg. This suggests that the model is able to simulate cooling rates at built-up sites with complex urban geometry using only *SVF*. For CI  $\ge$  0.9, standard deviations are large. This is due to a small number of the samplings, but also because of the large variation of wind speed probably related to the complex geometry. Using the modelled cooling rates, nocturnal air temperature is estimated with high accuracy ( $R^2 \ge 0.80$  and RMSE  $\le 1.61$ ).



Fig. 3 Average profiles of modelled (red) and observed (black) cooling rate and air temperature for an open site and a built-up site in Gothenburg and a built-up site in London for three classes of cloudiness (clear ( $CI \ge 0.9$ ), semi-cloudy ( $0.75 \le CI < 0.9$ ) and cloudy (CI < 0.75) from top to bottom). Due to the different length of night, the modelled profile is shown when the number of samplings at the time is larger than half of the observational samplings. Vertical bars on the cooling rate profiles indicate the standard deviations. The coefficient of determination ( $R^2$ ) and root mean square error (RMSE) are calculated for N data on the number of days shown in the parenthesis.

## 5. Conclusion

The NOcturnal Cooling RAte Model (NOCRAM) was analytically developed specifically to represent the process of nocturnal cooling progressing in two distinct phases, as well as to represent the relationships between cooling rates and sky-clearness, wind speed, maximum daily air temperature and sky view factor. The model requires commonly-used meteorological variables (i.e. incoming shortwave radiation, air temperature, relative air humidity, wind speed, and air pressure) as well as the geometric information (i.e. the sky view factor of the site and the geographical coordinates of reference meteorological station). The model simulates cooling rates well, capturing the characteristic development of cooling in the two phases under a wide range of wind and cloud conditions, as well as the effect of sky view factor on cooling rates. Using the modelled cooling rates, the temporal development of nocturnal air temperature is calculated with high accuracy. Due to its simplicity, the model can be easily used in climate applications, such as nocturnal human thermal comfort estimation and climate-sensitive urban planning and design.

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